First Assignment: Interpersonal Dynamics

Read all of the attached. Sign the Affirmation

Introduction to Interpersonal Dynamics for Attorneys

This class is very different from other law school classes. What follows is a description of what you will learn in this class, how the course is structured, and what is required to pass the class. To ensure that you have an idea of how this class will work and how it differs from all your other law school classes, I am asking you as part of this (lengthy and important) first assignment to do the following:

(1) Read both this Introduction and the article “Interpersonal Dynamics,” that follows.

(2) After reading this Introduction and the article, please sign the statement at the end of the article (p. 43) affirming that you have read and understand these items. I am asking you to do this only so that you understand what the class is like. Most of the people who have taken this course believe that it is wonderful (really! I’m not kidding). Every once in a while, though, someone says to me after a few weeks “this isn’t what I signed up for—it’s not like the Negotiation course my friend is taking.” I want you to know up front that this is not like any Negotiation or Mediation or ADR course any friend may be taking. It is way better, but it’s also way different.

(3) After reading the article and signing the affirmation, please do the rest of the reading and complete the “Real time assessment” that directly follows the affirmation in this assignment (p. 44-45). Be aware that this part of the assignment takes two days to complete.
Introduction

1. What you will learn in this class: Interpersonal Dynamics can be an extremely powerful course; on course evaluations, at least half the students report that this experience has had a profound positive impact on them (not just that they enjoyed the class, but that their experience and their learning from the course are important to them). If you participate fully, you will learn the interpersonal skills necessary for good lawyering. These are the skills essential to establishing, maintaining, and deepening client relationships; working effectively with others in your firm or business; understanding your client’s needs, and effectively negotiating or mediating. More specifically, this course affords the opportunity to develop and learn:

1. Self-awareness—Recognizing feelings, “automatic” and unconscious thoughts, and behaviors so that you can then consciously choose to act in the way most likely to get you what you want.
2. Empathic listening—Listening in a way that will enable you to really understand people (what they really want, how they feel, and why they act as they do), and listening in a way that will get people to open up to you, talk to you, listen to you, and work effectively with you.
3. Self-Acceptance—Appreciating your strengths, being less likely to engage in self-criticism, and being more willing to accept that there are areas where you can improve and more willing to try out new behaviors.
4. Assertion—Learning to be aware of your own needs, and being able to express your real feelings, needs and thoughts effectively
5. Effective speaking—Learning how to speak so that others will be open and receptive to what you have to say. Learning to speak honestly, directly, and clearly, in a way that others can take in.
6. Self-management—Being able to direct and focus your attention and manage your thoughts, behavior and feelings effectively
7. Ways to effectively acknowledge, raise and resolve conflict

Each student will set individual learning goals from this list. The format of the course allows each person to focus and work on many different goals during the semester.

2. Class times and dates

You will spend most of your time in small group(s). The group(s) (“Training Groups,” or “T-groups”) will meet during most or all of Thursdays’ earlier session, as well as Thursday evening. Two facilitators, whose role it is to help you learn in the Group setting, will be assigned to each group. Tuesday’s class time will involve all students in the class, often working in pairs, trios, or quartets.
There will also be a weekend retreat from 6 pm on Friday March 23 until 3:30 pm Sunday March 25. Class meetings during the weekend will be on Friday from 6pm-9:30pm; Saturday 9am-noon, 1pm-4pm, and 7pm-10pm; and Sunday 9am-12, and 1pm-3:00pm.

3. Course Requirements

Attendance: It is important that you come to class fully prepared and on time.
* You must attend all the Monday classes (the T-groups).
* You must attend the weekend session (6 pm on Friday March 23 until 3:30 pm Sunday March 25. Class meetings during the weekend will be on Friday from 6pm-9:30pm; Saturday 9am-noon, 1pm-4pm, and 7pm-10pm; and Sunday 9am-12, and 1pm-3:00pm).
You may miss one Wednesday session. Please notify Professor Rosenberg if you will miss any session, as soon as you know.

Participation: Almost all of your important learning will come from your interactions with other students in the class. You must participate actively in the class and in the group both so that you can learn and so that others can learn. Participation in the group includes both sharing important aspects about yourself, including your real reactions to others, and giving feedback to, and receiving feedback from, the other group members. You will be asked to pay attention to your feelings and to what else is going on in you, and to share your real-time experiences honestly with the group. The feedback that you will both give and receive will include both positive and negative reactions. You will share positive feelings towards others and negative feelings towards others. In this class you will learn from each other. If one person does not participate, it negatively impacts the rest of the class.

It is possible that being honest about your feelings and reactions will feel uncomfortable at first. For some, even just paying attention to your feelings may initially feel awkward or uncomfortable. In this class, you will be expected to push yourself, to take risks that are slightly outside your comfort zone (like giving honest positive and negative feedback to others), and to experiment and try to do and say things that you otherwise might not. There will likely even be real conflicts. It is through resolution of those conflicts by mutual understanding that you will learn the real skills of conflict resolution.

We understand that it may take some time for you to feel safe enough to take the kinds of risks that will lead to the most learning. We will spend class time working on how to best give and receive feedback. Expert facilitators will be in the groups to help maximize learning and to ensure that the group becomes and remains a safe place to learn and participate. You are not required to be a master of all the skills we will talk about, or to come to the first
group and “spill your guts.” You are required to participate, take reasonable risks, and make a good faith effort to give and receive honest feedback. When all members of the group do this it creates a safe place to experiment and learn.

You cannot pass the course by just sitting back and observing.

Reading: Everything you need to read for the course will be on TWEN. We are in the process of developing a book for the class. Until that is completed, the reading includes excerpts from other books and articles.

The reading is front-loaded. You will do almost all of the reading for the semester during the first two weeks. The reading involves no cases or substantive law. Unlike most of law school, none of your real “learning” in this class can come from books. The learning comes from doing what the readings suggest, and then paying attention to what happens. The idea is that during the first two weeks you will do virtually all of the important reading, and then you will spend the rest of the semester practicing what you have read.

Assignments:
Some class sessions have a specific assignment due before the class session begins. Sometimes the assignment requires you to engage in some activity outside of class and then to write about it. Other times, the assignment simply asks questions that we want you to reflect on in preparation for class activities. You must submit assignments no later than 11:00am on the day of the class for which it is due. Assignments can be hand written or can be Word documents. Submission requires posting your assignment on TWEN in the appropriate assignment dropbox (if your assignment is handwritten, you can just scan it and post the scan on TWEN). On each assignment please put at the very beginning of the document (1) your name; (2) the date the assignment is due, and (3) the date of your submission. Since you may be using your material in the class activities, please have some access to it in class.

Week in Review
Soon after each Thursday t-group meeting you are to write up a “Week in Review” (WIR). We want you to spend a significant amount of time on your WIR. It is a very important part of the course. Just “having experiences” can be fun, but long term learning depends on fully understanding what is occurring. This WIR is one of the central places where you will be making sense out of your experiences. In addition, the feedback you will get on your WIR is intended to help you get the most out of the course.

Complete and hand in your WIR no later than 1:00 pm on the Thursday following the T-group. We will do our best to get it back to you before the next T-group with any comments, questions or suggestions we have. It would be best if you write and
submit your WIR as soon as possible after the T group and that you not wait until Thursday. The sooner you write your WIR, the more likely the experience will be fresh in your memory. Also, the sooner you submit your WIR the more likely we will be to be able to get it back to you with (I hope) helpful comments before the next group. The WIR must reflect a good faith effort, as determined by the instructors. If you have any questions or concerns about your WIR, feel free to consult me. I will be happy to explain what is required. If your WIR is late or fails to reflect a good faith effort more than one time during the semester, you may not pass.
Interpersonal Dynamics
By Joshua D. Rosenberg © 2003

(excerpts from article initially published in Miami Law Review and subsequently republished in numerous texts and treatises)

1. Introduction:
a. Lawyers Need Relationship skills
Most people in this country do not like lawyers. Most lawyers in this country do not like their jobs. As a law professor, I spend most of my waking hours helping to turn good, likeable people into those disliked and unhappy lawyers. As a result, I have felt some responsibility to at least consider both how the legal academy may be contributing to all of this disliking, and what we can do to change it. After decades of pondering these questions, I believe that I have come up with some useful answers, and I write this article to share them.

Most lawyers live in a world full of disagreement, hostility, competition and pressure. They are often hired as combatants in preexisting conflicts, and conflicts arise constantly (and inevitably) among those working together on the same side. While conflict among coworkers is by no means restricted to law firms, the hostility, time-pressure and amounts of money involved in the work lawyers do puts significant stress on those who work in law firms. This stress both increases whatever conflicts evolve

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and tends to generate additional conflicts. For lawyers, the ability to work through stress-generating and stress-induced (or stress-enhanced) conflict productively is essential both to mental health and to success.

Although many lawyers work too hard, most unhappy young lawyers do not complain exclusively, or even primarily, about the amount of work they are asked to do. Instead, their complaints tend to focus on the way they are treated by their superiors at work. They feel at best disregarded, and at worst abused, by the partners, and they feel isolated in the discomfort brought about by these reactions to law firm life. They often do not know where to turn for help, advice, or even a friendly ear.

Almost every partner, and almost every associate, at almost every firm, has at some time had some variant of the experience where Partner (P), who feels pressed for time, asks Associate (A) to do some research. Because P is rushed, she describes the issue quickly and begins to turn to her other pressing demands. A assumes, from the quick description and from seeing P begin to turn towards other work, that P wants and expects him to understand exactly what he is supposed to do and to not ask any more questions. A has several questions about the research he is asked to do. Because P has already indicated that she wants A to go and start the work, A fears that if he asks questions, P will be annoyed and will think him less capable. As a result, he does not ask any questions.

Upon leaving P’s office, A struggles to find direction in his research, and works hard, until 2:00 a.m., to get it right. He returns the next day with his work product. P looks it over and sees that it is not what she wanted. She realizes, and says, that the most efficient approach to the issue is for her to do the research herself.

As a result of these interactions, P feels worried about getting her work out on time, annoyed at herself (and a little guilty) for not having given more clear guidance, annoyed at A for not having produced a good product, and somewhat less confident in A’s abilities. A feels inadequate and resentful. They are both less comfortable working with each other, and their joint work product, as well as their work experience, is likely to suffer. In large part because of many interactions like that above, many young lawyers often feel fearful, confused, dissatisfied and generally unhappy, and their superiors at the firm often feel harried, resentful, guilty and disappointed.

The same relationship skills that would help attorneys like A to avoid the most problematic aspects of law practice by enabling them to react effectively in situations similar to that described above are also the ones that can enable attorneys to have the kinds of interactions that can make lawyering most rewarding. When asked what they like best about their work, lawyers who like their work typically respond with statements about relationships: "I like to help people;" or "Last week, a client told me that what I did for her made a big difference in her life;" or "I like being part of a team.” Like other humans, lawyers get satisfaction from helping others and from good relationships.

Unfortunately, many lawyers who are unhappy in their work simply do not get any of these kinds of satisfactions. Their interactions with their coworkers may typically be like that of A, above; their interactions with opposing counsel are worse; and their interactions with clients are often limited and rushed. High salaries are wonderful things (for those who receive them).
Nonetheless, they do not help lawyers like their work; they only keep lawyers working in the jobs they dislike.

While some may be willing to accept that unsatisfying personal interactions are simply part of the cost of the high salary, status and power that are available to attorneys, the truth is exactly the opposite. Not only do relationship skills allow a lawyer to enjoy her success, but, perhaps more importantly, they are essential tools to achieve that success. The skills and abilities that would enable A to deal effectively with P above would also enable her to negotiate successfully with opposing counsel and with clients. In addition, even in areas where legal negotiation is not an issue, success in law (as in other fields) correlates significantly more with relationship skills than it does with intelligence, writing ability, or any other known factor.

b. Law Schools’ attempts at solutions

One might suggest that if a student has learned to “think like a lawyer,” she ought to be able to use those skills to develop the relevant facts and solve whatever problems arise in her relationships with others, just as she has learned to apply those skills to legal issues. Unfortunately, regardless of how well A has learned to think and analyze facts and issues, that ability alone is not likely to serve her well in situations like that with P, above.

The problem in relationships is not that the important facts are indeterminable or that the most useful course of action could not be reasonably thought out and developed. It is that when A most needs those abilities to discern the facts and to reason logically in the context of interpersonal relationships, she is least likely to be able to access them.

Basically, most lawyers and academics vastly overestimate the importance of reason and logic. We tend to view them as both the primary motivator of our own behavior and the primary tool to change the thinking and behavior of others. Although they are important, they are only one part of the puzzle. There are important differences between the kind of dispassionate reasoning and analysis in which lawyers and law students engage while sitting at desks at home, in the office, or in the library, and the kind of activities in which we engage when we are dealing in real time with real people. Real time, real life interactions implicate emotions, learned patterns of behavior, habituated perspectives and frames of reference, and other human, but not reasoned, responses.

To be sure, the notion of teaching about the role of emotions and irrational human biases is not entirely new to law schools. Students in clinical programs, internships and externships, and in courses such as Negotiation, Mediation, Client Counseling, and ADR are taught that there is much other than pure logic that drives human behavior. In addition to learning more creative problem-solving and different, and often more constructive, ways to define any particular “problem,” they typically learn about the numerous environmental, interpersonal and informational factors that affect litigants, attorneys and decision-makers.² They learn how these factors might be manipulated to encourage collaborative

² These courses typically identify the following as most important: (1) knowledge of typical human biases—a basic understanding of situational, environmental, and behavioral factors that generally influence human behavior, and (2) skills, including primarily problem solving and communication and preparation. See generally ROBERT M.
Through the years, psychologists, researchers, observers and gurus of all kinds have discovered numerous “rules” or truths about human behavior—specific biases, tendencies, and patterns typical of most people. Among the most well known of these factors are:

(1) **Gravitational force:** the closer we are to something or someone, and the bigger (or more intense) that thing is, the stronger is the force they have on us (and we have on them). We tend to pay more attention to people physically closer to us, than we do to those at a distance, and we are even more subject to being influenced by someone who is close enough to make us a little uncomfortable than we are to be influenced by someone standing at what feels to us to be a more appropriate distance.

   The notion of gravity has an emotional correlate: the more we are attracted to, and the closer we feel to a person, the more impact that person has on us and the more receptive we will be to her ideas and proposals.

   We pay most attention to ourselves, of course, and there is a strong tendency for people to overestimate themselves, in terms of their relative capabilities, and, for attorneys, in terms of the strength of their case.

(2) **Inertia:** We tend to have an innate preference for things to stay going the way they are. If something is mine, I want to keep it, even though if it weren’t mine, I would not want to acquire it. We are more likely to be persuaded by the statement that “that’s how it’s always been” than we are by other kinds of statements that are equally void of reason. The physical correlate of inertia, momentum, also has a human correlate. Once I say “yes” to one or two propositions, I am more likely to continue to say “yes” than I might otherwise have been.

(3) **Reciprocal reactions (for every action there is an equal and opposite reaction):** we are likely to treat others the way we understand them to be treating us. If someone does us a favor or treats us with respect, we tend to want to return that favor or respect. If we believe someone is mean to us, we tend to be mean to them. If we believe someone is listening to us and cooperating with us, we will be more likely to listen to them. We are not as aware of the extent of reciprocal behavior as we might be because of communication problems. Often the message person A intends is not the message person B understands. When B responds in kind to the message she thought she was getting from A, A often misinterprets that response, and even if A interprets it correctly, she does not notice any reciprocity because it is B’s response or her own misinterpretation of A’s message.

(4) **Directional force and velocity:** the more people are going in parallel directions, the less severe their impact on each other will be; when people are going in opposite directions (i.e., facing each other) their impact on each other will be more intense (either positively, or negatively).

(5) **Relativity:** Our reaction to something depends on what it is we are comparing it to. Just as lukewarm water will feel cold to someone who has had her hand in hot water, and will feel hot to someone who has had her hand in ice, if a negotiator first hears a very unacceptable offer first, she will be more receptive to the following, somewhat better, offer, than she otherwise would have been, because it seems better compared to that first offer. If my chair is higher than the other person’s, I will feel bigger, and vice versa.

(6) **Pressure (stress):** as stress increases, people will act less predictably and less rationally. People in conflict will see the conflict as being disproportionately greater than it is, and will see areas of agreement as disproportionately smaller than they are.

(7) **Patterning:** people tend to do what they observe others do (to reproduce observed behaviors). The more uncertain they are, the more likely they will be to imitate what they observe.

(8) **People have an inherent need for certainty and clarity.** We tend to see complete figures and concepts where they may not exist, and are more comfortable with certainty (even if we are wrong) than we are with ambiguity. We are more comfortable with “yes” or “no” or black and white than they are with “maybe” or “grey.” We seek out and are more receptive to proposals that tend to imply certainty.

(9) **Fractiles:** Patterns of behavior tend to replicate themselves infinitely, with infinite variations in size. The same
problem-solving, one-sided concessions, or both (as well as how they might backfire and end up encouraging only resentment). They learn about skills such as listening, reframing, questioning, and blocking strategies, and they are also often given opportunities to both observe the impacts of those components and to try to put them to work in their own role-plays.

I have taught several of these courses and written extensively and supportively in these areas. After a few years of teaching these courses, though, I found myself getting somewhat frustrated. It dawned on me that while one might cover important relational skills in the service of teaching other subjects, the entire notion of teaching these skills as an adjunct to certain specific areas of law practice was somehow backwards. Teaching relational skills as a component of Client Counseling, and as a component of Negotiation, and as a component of Mediation, and then having practicing lawyers learn them again as a component of Law Practice Management, and then again as a component of CLE courses in Ethics, Substance Abuse, and Marketing a Law Practice, strikes me not simply as unnecessarily repetitive, but, much more importantly, as inevitably insufficient. It is the equivalent of a high school offering courses in subjects such as "Grocery Shopping," "Getting and Keeping a Job," or "Leisure Activities" with each course including a component of driving skills (because some stores, work, or leisure activities are not

patterns of interaction that describe a long term relationship are usually evident in even an excerpt of only a few minutes of that same relationship.

Some version of each of these factors appears in almost every book on negotiation, e.g., BASTRESS & HARBAUGH, supra at 363-370.; LEWICKI ET AL., supra at 117-125.; JAMES C. FREUND, SMART NEGOTIATING, 208-212 (Simon & Schuster 1992).

The communication skills that lawyers need are essentially the same ones that everyone else needs, and they also are discussed in every course on Negotiation and have been set forth and discussed in literally thousands of books on Negotiation, sales, management, mediation, self help, and just plain communication, e.g., LEWICKI ET AL., supra at 110; GERARD I. NIERENBERG, THE ART OF NEGOTIATING (Simon & Schuster 1968); CHESTER L. KARRASS, THE NEGOTIATING GAME (Crowell Publishers 1970). Not surprisingly, these include (1) listening so that others will (a) speak about what you want them to and (b) feel connected to you; and (2) speaking so that others will (a) listen and be receptive to what you have to say and (b) feel connected.

Basically, active listening includes not only taking in what the other has to say and how she feels about it, but also doing so in a way that communicates as much to the speaker. It also requires checking out one’s understanding, both of the substance of, and the emotion behind, what the speaker is saying, and probing for more information and clarification when appropriate.

Speaking in a way that others will be likely to listen to and consider requires presenting information and arguments in a way that will both maximize the listener’s interest and minimize the listener’s perceived threat. Assuming the listener is equally interested in what the speaker has to say, the more room that the speaker appears to leave the listener to come to her own conclusions, the more likely the listener will be to be receptive to the speaker. As a result, statements that declare absolutes about an area of disagreement are likely to be rejected. Statements that purport to declare only the speaker’s perceptions and ideas, rather than the absolute “reality” of a situation, are more likely to be heard. The more that statements purport to describe facts close to, or known by, the listener, the more likely she is to be met with resistance. Statements that purport to actually describe the listener’s (as opposed to the speaker’s) state of mind, feelings, or intentions, are most likely to be met with not only resistance, but with hostility.
readily accessible by walking or by public transportation), but never offering a self-contained course in
driving. Whether it be steering a car through city traffic and highways, or steering one's self through
myriad relationships in different contexts, there is a fundamental skill set that is both important to learn
and, once learned, has daily applications in numerous contexts.

Were it as easy to actually learn relational skills as it is to learn Torts, or even Tax law, the typical law
school approach to these topics would be more than adequate. Whatever was left out of the classes in
Negotiation or Mediation could be gleaned from the relevant treatises. Lawyers and law students can
certainly acquire knowledge about human behavior and typical biases, or about what constitute the
essential components of effective listening and speaking, as easily as they can learn about subjects
tested on the Bar exam and left out of the law school curriculum. Indeed, unlike typical law school
courses, there are thousands of books on communication skills, and every bookstore in the country
carries at least a few.3 If we could learn communication skills as readily as we learn substantive law, we
would have all done so long before law school.

Unfortunately, despite all of the books, and the occasional class, most of us never actually learn them.
The basic reason is that we simply cannot learn communication skills the same way we learn
information. We need to learn them as we learn other skills, such as dancing or tennis—by practicing,
getting feedback, and practicing some more.

There is, of course, an intellectual component to relational skills, and most lawyers can learn that
relatively quickly. After only a few hours of reading about these skills, many lawyers could analyze the
transcript of most conversations and interactions, realize where they started going off track, and
determine what might have been said to either have kept them, or gotten them back, on track. No
doubt they could pass even a difficult written exam on the subject.

Similarly, when reviewing a tape of a professional baseball game, we can also determine that the batter
should have swung a little higher or lower at any particular pitch, but that will not make us major league
players. Just as the ability to see that the batter should have swung higher does not make us major
league baseball players, the ability to analyze a transcript does not make us effective communicators.
Both skills take a great deal of practice to learn well. The difference is that while batting is not essential
to success in law, communication is.

c. Interpersonal Dynamics: An Approach That Works
For the last several years, I have been teaching a course, Interpersonal Dynamics for Attorneys, that is
devoted to actually teaching the relationship skills that are (or at least ought to be) used by attorneys
daily—the skills that make them better negotiators, better co-workers, better investigators, and better at
attracting and retaining clients. They are also the skills that will enable them to have more effective and
more meaningful relationships with those with whom they work. These skills, put simply, are (1) the
ability to communicate (listen as well as speak) more clearly and completely; (2) self-awareness, and (3)

Amazon.com currently lists about 1,500 books on the subject “communication skills”.
an openness and receptivity to other people.

The course is not necessarily “intellectually” stimulating. There are few new ideas or theories to learn or apply. Students spend approximately 100 hours during the course of the semester “practicing” a very few basic concepts. Nonetheless, for most students it is the most challenging, provocative and stimulating class they take in law school. Almost all of the students who have taken the course have found it to be the “single most useful course” they have ever taken, at any school. Some have described it as “life-changing,” as “an amazing, amazing class,” or as “the one class that made law school worthwhile,” and almost all believe that its lessons have more relevance to their future careers as lawyers than any other course.

I do not take personal credit for these reactions. My friend and mentor, David Bradford, has been teaching a very similar course, with similar student reactions, at the Stanford University Graduate School of Business for many years. As I explain below, the role of the professor in this course is limited. Students’ learning comes not from listening to what the professor has to say, but from paying attention to themselves and to their fellow students. Students’ positive reactions are to the experience, not to the professor.

2. Why It Takes More Than Intellectual Understanding: Human Behavior as a System

In this part I explain in greater detail why it is that neither an intellectual understanding of human behavior nor the ability to reason and analyze translates into the ability to engage effectively in interpersonal relationships. I suggest that our own reactions, as well as those of others with whom we interact, are determined not simply by what we logically conclude to be appropriate at any time, but by numerous responses that may be triggered by learned behaviors, emotions, distortion in perceptions and self-fulfilling prophecies as well as by the application of pure reason to all the relevant facts. Basically, human behavior is explained neither by a top down model (one that presumes that all behavior is rational) nor by a bottom-up model (which might assume that all behavior is somehow instinctual, emotional or behaviorally conditioned). It is systemic in nature: our thoughts, feelings, perceptions and behavior influence, and are influenced by, each other.

a. Feelings Affect Thinking

Few would doubt that our feelings can significantly impact our thoughts—both what we think about and the way we think about it. Perhaps the most obvious example of this phenomenon is the behavior of anyone in the throes of love (or in the throes of the dislike that, for some, tends to follow the disillusionment of lost love). Such a person may find it difficult to think about things other than the object of her intense feelings; and the content of those thoughts will depend significantly on which "throes" the person is in (that is, “in love,” or in “dislike”) at the time. A person who thinks certain

See discussion at text accompanying notes 58-65, infra. In many Negotiation courses, students may spend as much as 10 or 20 hours “practicing” by doing role-plays. The kinds of practice students do in Interpersonal Dynamics is not only much more in depth, it is also significantly more intense, and generates significantly more, and more useful, feedback for the participants. See discussion at text accompanying notes 58-70, infra.
behaviors are endearing while she is in love may well start to think of those same behaviors as offensive once that love turns to dislike.

Feelings not only affect what we think about and how we think about it, but they also impact the quality of our thinking. As Paul Ekman has recently written, feelings can prevent us from having cognitive access to otherwise available information and memories. During, and immediately following, the grip of emotions, “our thinking cannot incorporate information that does not fit, maintain or justify the emotion we are feeling.” Rather than functioning as it otherwise would and allowing us to remember and operate from our typical cognitive frame, our brain retrieves and focuses only on memories related to the emotion we are experiencing, even if those memories themselves are typically not accessible when we are not feeling that emotion. As Ekman explains, “[W]e evaluate what is happening in a way that is consistent with the emotion we are feeling, thus justifying and maintaining the emotion. Expectations are formed, judgments made, that typically serve to maintain rather than diminish the felt emotion.”

Of course, most lawyers are not dealing with either current or former lovers while at work, so we tend to believe that the impact of emotions during work is limited or nonexistent. Perhaps surprisingly to some, relationships of all kinds implicate, and are impacted by, emotions. Many people are unaware of these emotions, and the impact they have, until the emotions reach a level that makes them impossible to ignore. Others are more aware of the presence of emotions at lesser intensities, but they are typically unaware of the way emotions impact our focus, thoughts and perceptions.

The reactions to emotions occur whether or not the person is aware of either the reaction or the emotion, and they significantly impact the outcome of most negotiations and most other interpersonal interactions. People who become anxious may tend to over-accommodate the other by inappropriately giving in on the substance of the discussion, or may tend to talk too much (or too little) in an unconscious effort to forestall that anxiety. People who become irritated may tend to become slightly belligerent or withdrawn in ways that can harm their interactions. Any feelings are likely to trigger unconscious patterns of thought and behavior that will inevitably influence an interaction.

b. The Impact of Emotions and Behavior on Perception

It is not just how we think about what we perceive that is tainted by our feelings. Our very perceptions themselves are determined, in part, by our feelings (and thoughts). As an initial matter, emotions precipitate changes in the autonomic nervous system. These changes include increasing the heart rate, changing breathing patterns, skin changes such as perspiration or blushing, and redirecting blood flow (anger has been found to direct blood to the hands, presumably for combat; fear has been shown to redirect blood to the legs, presumably for running). At a micro level, these changes in the autonomic nervous system change not only our ability to think, but also our ability to act and perceive. Along with our thoughts, our blood flow, and our energy, the focus of our attention and our ability to take in data are significantly changed by our emotional state. Not only our behavior, but also our perceptions become both differently focused and usually less accurate.
c. Thinking Affects Perception
Obviously, our thinking significantly affects our behavior. Perhaps less obviously, but equally important, our thinking also impacts our perceptions. We all have not only specific thoughts at any given time, but also more general “frames of reference”—ways we tend to understand the world. The impact of these frames of reference on our perceptions, though perhaps most apparent when we are subject to intense emotions, is by no means limited to those times. Instead, our frames of reference impact on us constantly, determining both how we see things and what things we see (or pay attention to). As an example, just yesterday I was in our garden, together with my wife and three year old son and a friend. My wife, thinking about her beautiful garden, was aware only of colorful, sweet-smelling flowers. My son, thinking about how much he loves to catch flies, was aware only of a particular fly that he was trying to catch. My friend, who was thinking about his own one-year old child, was aware only of how big and energetic my three year old was (and his one year old soon would be). I, alas, was thinking about work, and was aware primarily of how many weeds were growing and how much work I would have to do in the garden after finishing this article. Because of our different frames of references, we had four very different perceptions, and experiences, of the same event.

d. Behavior Impacts Thinking and Feeling
Although no one doubts that thinking impacts our behavior, the extent to which the exact opposite is also true is worth noting. What we do significantly impacts both how we think and what we think about. In order to make this point to students, I have conducted in some negotiation classes a simple experiment in which some students are chosen for each of two groups, and the remaining students (who have previously, and secretly, been instructed on how to act) are designated as "observers" of each group. Each group, with its designated "observers," is sent to a different room and asked to toss pennies to see how close they can get them to the wall. One group’s tossing is met with complete silence by its "observers," who pretend to busy themselves with note taking. The other group receives constant praise from its "observers" (for example, for their ability to get pennies close to the wall, for their good form, etc.). Not surprisingly, the second group invariably continues long after the first group stops.

When asked why they stopped, the first group typically replies that they had other things to do (such as "reviewing" the reading they were supposed to have done for that day's class). When asked why they continued for as long as they did, the second group typically responds with statements such as "it reminds me of when I was a kid, so it brings back fond memories," or "it was fun," or simply “you told us to.” None in the second group respond that they continued because they were getting cheered on by others, and none in the first group suggest or believe that they stopped because their "observers" were silent. Each group thought differently about the tossing they had done, and each individual had very reasonable and logical thoughts about why she did what she did. In each case, however, these thoughts were the result of their behavior, rather than its cause.

Equally as important as the fact that their actions drove their reasoning is the effect of those actions on the general topics about which they thought during the experiment. The group that continued tossing pennies was thinking about how to get the pennies close to the wall--how to improve their outcomes
and their technique. The other group was thinking about the content they were reading (after they quickly stopped either throwing the dumb pennies against the wall or thinking about the pennies). While each group might have been thinking very rationally, what they were thinking about was determined not by rational choice, but by their behavior (which, in turn, was determined by the actions of their "observers").

Students have pointed out that penny-throwing was more enjoyable for the group that had the active and engaged observers than it was for the group that had silent note-takers, so that the decision of one group to continue while the other group quickly quit is entirely "reasonable." My point, however, is not about whether the behavior of both groups appears reasonable to an objective observer. It is instead that none of those who participated were thinking about their own behavior in that way. They may have been acting according to known principals of behavioral psychology, but they thought they were acting for other reasons entirely.

In addition to affecting our thoughts, how we act also affects our emotions. We all know that there are certain activities that make us feel better (sports, relaxation, being with close friends and family, etc.) and others that make us feel worse (some kinds of legal work, being with certain people (sometimes family), etc.). More recently, researchers have shown that merely adopting certain postures or facial expressions has immediate impact on emotions, regardless of the reason the postures are adopted and regardless of whether the positions are physically comfortable, uncomfortable, stressful or relaxing. Similarly, how we act while experiencing emotions significantly impacts the course of those emotions, regardless of the way that our behavior impacts on others who might be the cause or target of those emotions. Again, while lawyers may not toss pennies at work, they are constantly engaging in some behavior and adopting some physical position and attitude. All of it impacts not only their emotions and thinking, but also the reactions of others.

**e. The Result: Interacting Systems and Self-fulfilling Prophecies**

Basically, our thoughts, feelings, behaviors and perceptions influence each other. We react to our perceptions of the world around us while our own behavior impacts on the world. Of course, the patterns of our behavior, thoughts, perceptions and feelings are far from random. We tend to learn patterns of thought, feeling, and behavioral reactions in childhood. In adulthood we tend to engage in those patterns we learned as children, often resulting in “self-fulfilling prophecies” that tend to reinforce those same old patterns. Basically, because of our particular frame of reference (thoughts, feelings, etc.), we expect people to act in certain ways, and we act towards them in ways that tend to precipitate the behaviors we expect. When people do act in the ways we expected, we interpret that behavior in line with our expectations, and we react in certain predictable ways (which tend to confirm to us the validity of our earlier expectations).

Negotiation experts are aware of the significant impact of self-fulfilling prophecies on negotiations, but the actual impact of these patterns extends well beyond “negotiations,” to encompass most of our interactions in life. To demonstrate how frequently, extensively and unconsciously these self-fulfilling prophecies direct us, I often discuss in class the person P at a party who looks at person AC and thinks she is arrogant and cold, and then looks at person FW and thinks he is friendly and warm. It is almost
inevitable that by the end of the event, P’s initial perspective will prove (to P, at least) correct (regardless of the actual personality of either AC or FW). In all likelihood, P will approach and be receptive to FW, who in response will likely act friendly. On the other hand, in what P believes is simply self-protection, she will likely retreat from AC, who in turn will be less likely to act warmly toward P. P will then leave the party unaware of how her own feelings and beliefs impacted her behaviors, or of how her own behaviors impacted AC and FW, but acutely (albeit inaccurately) aware of her own insight and ability to predict human behavior. These self-fulfilling prophecies and other generally unconscious learned responses significantly impact the outcome of most negotiations and most other interpersonal interactions.

f. Human Communication: Colliding Systems
As all of the above suggests, despite our typical estimation to the contrary, we are often unaware of the actual causes (and unintentional consequences) of our own behavior, thinking, emotions and perceptions. We are not sufficiently self-aware to realize how many of our patterns of acting and thinking are ingrained, unconscious or triggered by our autonomic nervous system rather than by reason. Communication, of course, is a two way street, and much of the time we are even more misguided about what is headed towards us than we are about where we ourselves are going. Just as we incorrectly believe that we understand our own behavior better than we do, we also (and to a much greater degree) wrongly believe that we understand others much better than we actually do. Of course, if we did not have some accurate understandings of others, and ourselves, it would be impossible to function. We all need to make judgments about others and about the world in general, and probably the majority (albeit for many people a very slim majority) of the judgments we make are correct. The problem lies in the facts that a great number of the judgments we make are incorrect, we do not know which ones they are, and we vastly underestimate their number.

As an initial matter, researchers have concluded that the single greatest weakness of most negotiators is that they too often fail to even consider the thinking and emotions of others. Perhaps even more significantly, when we do attempt to consider the thinking and feelings of others, we usually get it wrong. We often attribute to them moods, goals or motivations that simply are not there, or we exaggerate the significance of one of many reactions they may be having and forget that, like our own, their reactions might be both dynamic and complex. While we tend to be accepting of situational factors that impact our own behavior, we tend to be unaware of, and inattentive to, the impact of such situational factors on others. As a result, we tend to think of ourselves as more sympathetic, as having a better case, or as being a better person than the one with whom we are dealing. In turn, this often leads us to devalue the other’s case and proposals, and to fail to reach agreements that are available and would have been in our client’s (or our own, as the case may be) best interest.

Basically, we tend to assume, too often inaccurately, that the message we take from the other is actually the message they intended to send. We vastly underestimate not only the impact of our own perspectives, feelings and thinking on the message we take in, but also the role of simple miscommunication.
Compounding the problem of our misperceptions of others is the fact that we are basically unaware that the problem even exists. Research clearly shows that more than 98% of us are unable to tell when others are lying or telling the truth. We are essentially equally likely to believe those who are lying as we are to believe those who are telling the truth, and we are equally likely to disbelieve those who are actually telling the truth as we are to disbelieve those who are lying. Interestingly, and typically, I have never met a person who believes that she is a part of that 98% majority.

All of this obviously makes for significant misunderstandings and unnecessary conflict. Even worse, it is often self-perpetuating. Because we believe that we already understand others, we rarely take the time to try to understand them better. If they do not act as we want or hope, we tend to attribute their “failure” to act “properly” to some personality defect on their part. Rather than seek to learn more about them, we tend to dismiss them or negatively characterize them. We will in turn likely act in ways that may ultimately alienate them, and they will likely react in ways that will confirm, in our minds, our initial “understanding.”

Human communication is then the interaction of two individuals, each of whom believes that she alone understands both herself and the other, while in fact neither really understands either herself or the other, and neither seeks to gain understanding (because each thinks she already has it). Perhaps more surprising than the amount of miscommunication and conflict in the world is the fact that, at least occasionally, accurate communication does take place.

3. How we can learn: Giving and Getting Feedback—the Substance of Interpersonal Dynamics

In this part, I describe the single most important lesson of Interpersonal dynamics—how to give and receive effective feedback. I then explain how once people begin to give and receive feedback, they begin to gain the kinds of self awareness and awareness of others whose absence is at the heart of so many conflicts. Finally, I explain how the kind of feedback loop that gets created when people give and receive effective feedback also leads directly to learning communication skills and to developing an attitude towards people and towards communication that will serve people well throughout their lives.

a. Feedback as the Way to Learn About Others and About Ourselves

As with anything else, the best way to learn the extent to which we either ignore or mistake the thoughts, motivations and emotions of others is by testing out the understandings we do have—finding out the extent to which they are accurate and complete. By explaining my reactions to, and understandings about, another, and having that other person confirm the extent to which those understandings are correct (or at least the extent to which my understanding of her is consistent with her own understanding of herself), I can at least begin to test out the accuracy of my understandings of that other.

Unfortunately, for most of us this hardly ever happens. We rarely share our understandings of others with them, and as a result we almost never find out whether or not those understandings of others are correct (other than through the kind of self-fulfilling prophecies discussed earlier). While we may share
our attributions about others with our friends (whom we trust to agree with us), we neither seek to, nor do, find out the truth from the one person who actually knows it. We solicit, and get, only self-affirming feedback; we never check out the validity of our attributions; and we end up with no more understanding of, but significantly more confidence in, their accuracy.

b. Feedback as self disclosure

Of course, our general failure to actually check out the accuracy of our attributions with the “source” seems entirely sensible. The idea of approaching a coworker or fellow student and saying, “Excuse me, but I think you are a jerk. Are you?” likely strikes no one as a particularly useful exercise. It would be not simply rude and useless, but exceedingly stupid, especially if the coworker were strong.

The single most important aspect of Interpersonal Dynamics is that it ultimately functions as a space where people can and do check out their attributions and get a sense of the extent to which they may or may not be correct. Fortunately, interactions like that above are not exactly the ones that fill that space.

In order to check out their understanding of others’ intentions and motivations, people need to be able to present those understandings in a way likely not to generate excessive hostility. The more threatened a person feels, the less likely she is to accurately absorb whatever information she hears. When we hear someone telling us we are wrong about almost anything, it becomes difficult to absorb the information or argument. When we hear someone calling us names and applying inaccurate labels to us, the task of taking in the information goes beyond difficult; it is virtually impossible. Whether we tell someone that she is controlling or annoying, or that she is all loving and caring, we are telling her what she is like; and given the nature of our understanding of others, we are always either wrong or, at a minimum, incomplete. When the labels we apply are negative, the only important reaction we are likely to get is defensiveness and hostility. Merely appending the words “I think” in front of those labels and “are you really?” after them will not dampen that impact.

That, however, is exactly the way that most people give “feedback” to others, and what most of us would do were we to simply “check out” the accuracy of our understandings about others; and the notion of a group of people engaged in that activity does not make a pretty picture. Fortunately, there are ways to give feedback without labeling the target of the feedback, and it is exactly that understanding of how to give feedback that is the single most fundamental lesson Interpersonal Dynamics both teaches and relies on.

The notion is a fairly simple one. In order to give feedback in a way that someone can listen to, it is important to avoid labeling that person, either positively or negatively. Instead, I need to describe (1) specific behaviors in which that person engaged, so that she will know what it is I am reacting to, and (2) my own reaction to those behaviors. In describing my reaction, I need to be clear that what I am describing is only my reaction, and not necessarily “the reality.”

Because so many books describe how to give effective feedback by essentially describing a template onto which one might fit her feedback in order to ensure that it is delivered appropriately, I feel compelled to elaborate on the fact that when I discuss effective feedback, I have in mind not so much a template for framing feedback as a real change in both the focus and substantive content of that
feedback. Probably the single most important key to giving effective feedback is the acknowledgment that all we can know about others, with a relatively high degree of accuracy, is no more than (1) what they do—behaviors such as what they say, how they say it, and how they move and position themselves, and (2) how we react. The implication of this acknowledgement is that when I give appropriate feedback, once I describe the specific behaviors to which I am reacting, the rest of what I am describing is not either the other person or “reality,” but is simply the reaction that occurs completely within me.

If I give feedback as a description of my own internal reaction to specific behaviors I have identified, I will be giving feedback that is significantly less threatening to the hearer (because it does not purport to label her) and that is significantly more accurate (because it honestly describes my reaction, rather than (probably inaccurately) describing her motivation). Basically, the difference between effective feedback and conflict-generating labels lies not simply in the use of a template (although templates can be helpful reminders), but in an understanding of what I am describing (my reaction) and where it occurs (within me). If I understand and communicate that, others will be receptive to my feedback. Similarly, if I present my understandings of others in the same way, I will likely be able to begin a dialogue rather than a fight.

One important reason that Interpersonal Dynamics meets for about 100 hours with a faculty/student ratio of about 1/6 rather than the 42 hours and 1/100 faculty/student ratio a typical three unit course demands) is that while it is relatively easy to describe how to present one’s understandings of others, and to give effective feedback, it is much more difficult to actually do it. It is one thing to intellectually consider that my own ingrained patterns of acting, thinking, feeling and perception play a significant role in mediating between the outside world and my internal “reality.” It is something very different, and much more difficult, to actually accept that much of what I believe I “know” about others may be nothing but my own fantasies. Humans have a strong need for certainty and completion in our understanding of our surroundings; and giving up that certainty is difficult. To take one’s understanding of the external world (or parts of it) and to view it, albeit only tentatively (until that view is either confirmed or disaffirmed), as nothing more than the internal workings of one’s own mind is not easy. The approach described above requires it, and that takes quite a bit of practice to do in even very simple situations. It takes a great deal of work and practice to be able to do in the kinds of difficult situations where it is most needed.

c. The cycle of feedback/self-disclosure

i. Increasing Awareness by Self-disclosure

A. Self-awareness

Since effective feedback as described above relies on self-disclosure, it should not be surprising that focusing on giving such feedback both requires and inevitably increases self-awareness. Initially, even an unsuccessful attempt to appropriately express one’s reaction to a person or event can be enlightening. As discussed above, it requires, at a minimum, that people pay attention to the difference between (1) behaviors of others that are external to us and (2) the way we react to those behaviors (the internal “meaning” we make of those behaviors). The simple act of attending to this difference requires an
almost complete reversal of the normal process.

Typically when we enter conversations in the work environment, it is because there is some task with which that other person might help us (whether it be opposing counsel negotiating a settlement, a peer helping out with a research lead, or anything else). While conversational specifics may occasionally drift away from the task, the entire interaction is essentially task motivated and naturally task-focused. More often than not, we see even the non task-specific conversation as a component of our broader task of getting the other’s cooperation.

After a relatively brief time, we tend to unconsciously form some sort of mental picture of that other which includes a view of her relevant interests, motivations and intentions; and we likely have some emotional response to that picture we create, depending in large part on how well it coincides with what we had hoped for or expected. If we take time to consider in the middle of an interaction, usually what we are considering is how to respond in light of our conclusions about the other. We do not take time to analyze our conclusions in depth to determine their accuracy (although we may attempt to withhold judgment for some time). We certainly do not unwind and examine the process by which we reached those conclusions.

For most people, the process of retreating from a chosen action or response to the other, back to the motivating judgments about the other, and then further retreating from those judgments of the other into some “internal” reaction to the other requires a level of attention to one’s own internal processes—thoughts, emotions and motivations—that most of us are not used to giving.

Once one does begin to look closely, and separately, at the other’s behaviors and at her own reactions, she will likely find that her judgments and conclusions about the other are not inevitable. Instead, she is likely to note that the behaviors to which she is reacting might support numerous different conclusions about the actor, and might give rise to numerous different emotional reactions by different observers.

B. Relational Awareness

Of course, one might attend to her internal reactions to others without actually expressing those reactions in the form of feedback. Indeed, a determined focus on the examination of one’s internal processes and reactions lies at the heart of many well known practices such as psychoanalysis, other forms of psychodynamic therapy, and mindfulness meditation. This shift of focus provides a fairly rare opportunity for self-examination and for many proves to be quite helpful.

Importantly, and significantly different from practices like psychotherapy and meditation, Interpersonal Dynamics is not essentially contemplative in nature. While the process requires attention to one’s internal processes, the class is not simply, or even primarily, a process of self-examination. Instead, the class requires self-examination as a part of the broader goals of both giving feedback to the person to whom one is reacting and soliciting feedback from that person.
The process which lies at the heart of Interpersonal Dynamics is neither task-oriented nor contemplative. It is distinctly, and uniquely, relationally oriented. The overarching goal is neither task completion nor mere introspection. It is increased understanding by the people in a relationship of what happens in that relationship. What I look for within myself, and then share with the other, is not my history or upbringing, but my actual reaction to that other in the moment. Self-awareness develops not in the service of personal enlightenment, but as a means to mutual understanding and relationship-building.

ii. Developing the basic skills

While increased understanding and awareness is impressive to observe, actual development of relational skills requires more. In addition to learning what she may be doing “wrong,” one needs to learn ways to act that will serve her better, and finally, she needs to be able to practice those new alternatives. Participating in the process of giving and receiving feedback provides incentives to learn as well as both new alternatives and a place to practice them.

A. Listening

By sharing their own reactions to others, and their own understanding of (attributions about) others, and having those others either confirm or deny the accuracy of those understandings, people quickly learn, and are almost inevitably stunned by, the extent to which they misunderstand others (as well as and by the extent to which others misunderstand them). By checking out the accuracy of their attributions with somewhere between 10 and 14 different people numerous times over the course of a semester, students learn quite clearly that, indeed, their mind-reading capabilities are quite limited. Over time, the “theoretically possible” hypothesis that we do not really know what goes on inside another's head, become the empirically obvious reality for everyone.

While mere self-examination may reveal gaps in one’s reasoning, perceptions and fact development about others, it provides little incentive to do anything about those gaps, or to pay more attention to them, so long as one believes that, despite those procedural gaps, she has nonetheless reached the correct substantive result. When one becomes aware of how often her conclusions about others are wrong or incomplete, motivation to learn to understand others better grows.

As suggested above, there are numerous books that purport to teach listening skills; and they all emphasize the importance of both “probing” to ensure that the listener gets all the information she needs, and “reflecting,” or “checking out” one’s understanding of the thinking and feelings of the speaker (“active listening” or “reflective listening”). Acceptance of the possibility (even probability) that I do not understand what is going on in the mind of another is exactly what will motivate me to find out what I no longer assume that I already know. The knowledge gained from realizing the extent of our misunderstandings of others provides us with the mindset that is at the heart of active listening. Without it, the “skills” are nothing more than a minimally useful, and usually transparent, tool for manipulation.
In addition to instilling the proper attitude towards listening, participation in a group where one is receiving feedback provides the perfect opportunity to practice listening. Because no one will be immediately adept at giving feedback, there are inevitably numerous opportunities to probe and to check out one’s understanding of what another says. Indeed, unless one practices listening actively, and working to really understand what the other is saying in these circumstances, the proffered feedback is often likely to come across inaccurately. The listening and reflecting that gets practiced by the listener is an essential complement to the speaker’s own attempts at self-reflection.

B. Effective Speaking

In addition to believing that we understand others better than we actually do, we also tend to believe that others understand us better than they do (not necessarily because we think they are so intuitive, but because we believe we are better communicators than we actually are. When we speak, we know the unspoken context of our words and we have the completed picture in our minds. We believe that if the listener is at all competent, that picture ought to be equally clear to her). Basically, we assume that our listeners understand the same message that we wanted to send. As a result, we almost never take the time to present our message in the way most likely to get across. We simply do not think we need to make the effort.

Typically, students are as surprised to learn the extent to which others misunderstand them, as they are to learn the extent to which they misunderstand those others. By hearing the intentions and motivations that others attribute to us (and we hear them whenever they share their understandings with us), we quickly and convincingly learn that the same biases and mistakes that affect our understanding of others also affect their understanding of us. Hearing the same kinds of attributions from many different people over the course of the semester makes it difficult to retreat to the typical mindset that the other person is simply “dense.” If nothing else, this gives people the kind of motivation needed to make the effort to communicate more clearly.

Conveniently for those who have the motivation, the process of learning to give effective feedback replicates the process of effectively conveying almost any information and arguments in the way least likely to make the listener defensive and most likely to be taken in—by presenting the information as one’s understanding, rather than as “reality.” In addition, the experience of giving and receiving feedback encourages people to work at ensuring not only that they understand, but also that they are being understood, by checking out the other’s understanding. It is exactly that process that is essential to accurate and effective communication.

iii. Emotions

As people begin to look inward in efforts to provide feedback, they will note that, almost inevitably, the judgments and attributions they make about others are accompanied by emotional reactions. Many people tend to be unaware of emotions, at least at lower levels. For them, the discovery that they actually do have emotional reactions, and that they have them almost continuously, can be quite surprising. For some, it brings to life a whole new appreciation of emotions, and an openness and receptivity to their own and to those of others. For others it clarifies why they initially attempted to
suppress some or all of their emotions—some of their feelings make them uncomfortable. Either way, becoming more aware of emotions is an essential first step to learning how to react to them effectively.

In addition to becoming more conscious of the emotion itself, by attending to one’s reaction and becoming more aware of it, one can also gain an awareness of the types of events or actions that tend to trigger particular emotions, and can begin preparing to act on them a crucial few moments before the emotions and their accompanying patterns reach full force. That awareness can not only help one predict and prepare for her emotions, but it can also help her understand what it is she may be reacting to and reconsider that reaction.

As discussed earlier, emotions can have a significant distorting impact on thinking, behavior and perception. Although emotions at less intense levels may escape awareness, even they nonetheless affect us. Changing the pattern of our reactions to emotions (that is, our heightened focus on and access to thoughts, memories and perceptions that reinforce the emotion) is difficult, but not impossible. Making that change requires, in addition to awareness of the emotion, learning and practicing ways to respond effectively to that awareness. Psychologists have long known that, in addition to looking at the emotional triggers, reevaluating the judgments one might have made, and simply “cooling off,” the most effective way to react to emotions is usually to express them in a controlled and direct manner.

Fortunately, what it takes to give effective feedback is exactly what it takes to deal properly with emotions in most situations—the clear, non-blaming expression of one’s own reaction (thoughts and emotions, etc.) and the specific behaviors of the other that triggered the reaction. By learning to give effective feedback and practicing doing so, students learn to deal with emotions in the most appropriate and helpful way, one which is likely to allow them to minimize their negative impact, increase the other’s positive response, and enable one to overcome her emotional state.

Significantly, research has shown that in order to effectively change the way we deal with emotions it is not enough to practice different ways of acting while in non-emotional situations. To learn new patterns of reacting to emotions, we need to practice them while in an emotional state. In this respect, learning relational skills is similar to learning any other kind of skills. It takes practice. Just as learning to play the guitar requires practice on a real guitar, learning to respond more effectively to emotions requires practice responding to real emotions. One can practice responding to “pretend” emotions, of course; but this will be no more helpful in learning emotional skills than playing the “air guitar” is in learning to actually play a real one. While this does not mean that we need to be furious or overjoyed or at any peak of emotionality, it does mean that we need to be emotionally engaged if we are going to learn how to react more effectively to that emotional engagement. Being in a situation where effective feedback is given is almost guaranteed to produce that state, certainly to a much greater degree than do typical role-plays.

Finally, even if some students do nothing other than start to become aware that they have feelings, and start to pay attention to what those feelings are, they will have made huge strides in terms of their negotiation capabilities. Ultimately the goal of a whole series of negotiation tactics is to intimidate the other side. Most of the experts agree that in order to have any chance of combating these tactics, it is essential that a person be aware of their existence, and that the best way to reach that awareness is to have a sense that one is beginning to feel intimidated. Once one becomes aware of her emotional
reaction, there are numerous ways to deal with the tactics. Without that awareness, the tactics are much more likely to be successful against her.

**iv. Receiving and Using Feedback: Discovering Patterns**

Ultimately, the more one can become aware of her typical patterns of thought and of emotional responses, the more likely she is to be able to make conscious, reasoned conclusions based on a full understanding of the facts. This ability to respond appropriately will prove more significant than the best logical arguments ever could in developing and maintaining good working relationships, in negotiating, and in retaining satisfied clients.

Becoming more aware of the attributions we make about others, and realizing that those attributions are as often comments on ourselves as they are insights into others can also teach us a great deal about ourselves. Whether I attribute one person’s quietness and restraint to shyness and insecurity, or to self-centeredness and disinterest, and whether I attribute another’s talkativeness to her need to control or to her anxiety and desire to please and entertain me, might tell me very little about that other person (which is why I need her there to tell me the truth about herself), but it can tell me quite a bit about myself. At a minimum, it can give me a very useful “heads up” in future interactions, with the same person or with others to whom I might attribute similar motivations. I do not suggest that everyone to whom I have similar reactions will have identical motivations and intentions; but we would all often be well served by either refusing to jump immediately to our typical conclusions or, if we cannot resist making that initial jump, at least leaving ourselves some room to reconsider.

In addition to providing guidance for specific future interactions, the kind of growing self-awareness I suggest may, and always does for at least a few, lead to an entire series of self-understandings and explorations. As students increase their own self-awareness, they will be able to give increasingly accurate, and thus increasingly useful, feedback to others concerning the reactions they have. As the class gets to know each other better, it also turns out that while many attributions are off-base, others are accurate.

Some students demonstrate patterns of thinking or acting that get noticed only by a few others, only after several weeks, and only because people are spending so much time in close quarters with the purpose of giving and receiving feedback about their behaviors and internal processes. Comments on these patterns can lead people to some very useful insights not just about how they might act more effectively, but also about how they might start to “think” more effectively as well. The person who often smiles and nods in agreement may find that these behaviors make her well-liked, but may also find that she is taken less seriously than others and that her opinions and desires are typically disregarded. As a result, she may work on acting more assertively when appropriate. In addition, she may begin to discover patterns to her own thinking that coincide with these behaviors—patterns she had previously taken for granted. She may begin to understand that she thinks of herself as less capable than others, or as less deserving than others, and that her behaviors accurately reflect that thought. At that point, she may, with feedback from others, reassess that thought and change her self-image along with her behavior.
Similarly, after several weeks, some person may suggest that a particular student tends to challenge and dispute others, or to belittle others. That student may learn that she can become more effective by listening more and stifling her urge to dispute, and learning and practicing those behaviors would likely serve her very well. Possibly, in addition, she may start to examine her thought processes more closely, and to see that she tends to attribute to others an intent to belittle or dispute her, and that she reacts the way she does in order to avoid being “done in” by others. She may begin to see that she continuously plays out the self-fulfilling prophecy that others are trying to trick and disagree with her, and, with feedback from the group, may be able to see that her attributions are often incorrect.

A talkative student may learn that some see her as helpful and nurturing, while others may see her as bossy and pushy. She may begin to work on talking less and learning to tolerate more silence and lapses in conversation (learning which will serve her very well in negotiations). In addition, she may begin to see that when there is silence, she begins to feel anxious. Once she becomes aware of that anxiety, she may look for the thoughts she has that generate that anxiety, and she may see that she tells herself that it is her responsibility to fill in the silence. Once she becomes conscious of that thought, she may begin to look at it, and, perhaps, to change it. Alternatively, she may find that when there is silence she becomes anxious and begins to predict awful consequences that will result from that silence. By slowing down her internal processes enough to become aware of those images, she will give herself the chance to look at them and test their appropriateness.

A quiet student may find that while some see him as shy, others view him as vain and haughty. He may decide to work on talking up more, and he may (or may not) begin to look at what he tells himself, or imagines, that causes him to remain silent, and may, as a result, develop assertion skills that will serve him well throughout his career.

vi. Establishing and Maintaining Connection

The ability to establish and maintain some “connection” with any person I work with is important for several reasons. As an initial matter, the extent of my influence on any person depends, in part, on that person’s feelings towards me. In addition, the personal connection between two people is often an important part of what can enable them to engage in creative problem-solving and collaborative bargaining in the midst of conflict. Significant research on negotiation shows that one of the essential components of successful collaborative negotiating is the ability to refocus discussion away from areas of disagreement onto areas of commonality and agreement, and the ability to establish those areas of commonality and connection is a key aspect of the ability to focus on them.

Probably more important, and definitely more overlooked in the negotiation and mediation research familiar to lawyers, is the fact that regardless of one’s conflict resolution skills, many conflicts are never really resolved. While conflict resolution skills are essential to attorneys, they are not sufficient. People need to not only resolve conflicts when possible, but also to be able to maintain contact and good relationships, both with other attorneys and with clients, in the absence of such resolution.
The ability to establish and maintain connection with another is not easy to learn, and many of those who are well liked do not necessarily have to work hard at it. Nonetheless, to the extent we dismiss the ability as completely due to genetics and natural disposition, we do ourselves, and our ability to improve, an injustice. There are behaviors that can increase connection to others, and they can be learned. Much research shows that interested listening increases the speaker’s attraction to the listener. In addition, since labeling others is one of the surest ways to truncate whatever connections exist between people and to build resistance to future connection, speaking in the “non-threatening” manner discussed above is important to enabling growth and maintenance of connection. Learning to discover, share and take note of commonalities is a skill that can be practiced. In addition, while it is neither necessary nor helpful to bare one’s soul to strangers, coworkers or opposing counsel, some personal disclosure often results in increased attractiveness. Again, by learning how to engage in self-disclosure, by practicing, and by seeing the results, one can learn a very useful approach to relationships.

vii. Appreciation of others

Most law students and lawyers function under time pressure. We have (usually too many) tasks to accomplish, and we inevitably need to work with others to accomplish them, so that communication skills are important. When these skills are learned and used in a setting where there is no externally imposed task, people not only learn the skills, but they also learn that human communication in general can do significantly more than facilitate task-completion and efficiency.

For the most part, students do not know each other well at the beginning of class. Typically, they are not enemies, but neither are they close friends. As a result, simply being in a small, interactive class together engenders a degree of contact with peers that they otherwise might not have had.

Much more important than simply having contact, however, is the kind of contact that they have. Either prior to or early on in the class they have formed judgments of most of their fellow students. As discussed earlier, we all tend to not consider others sufficiently, and when we do consider others, we are typically significantly less generous with our understanding of them than we are with ourselves. When people check out their attributions, they get responses that allow them to get a much fuller picture of the other person. They begin to learn that others are indeed equally as deserving of acceptance. They start to understand that others, like themselves, had difficult times, and they begin to develop a real appreciation of both what those people went through to get where they are and of what their experiences are really like. Sometimes they learn that the good-looking, smart person who seems to “have it all” and appears aloof is actually withdrawn because she is trying to deal with her mother’s terminal illness; and sometimes they learn that, despite her looks and brains and money, she is simply shy. Sometimes they learn that the “uncool” and “unattractive” person has had some amazing experiences and insights. Sometimes they just get to know others well and to enjoy being with them.

In many cases, what develops is an intimacy with and appreciation for others that people may never have experienced before (in or out of law school). What seemed like way too much time to have to even be in the same room as a few other people, for many turns into unexpectedly rewarding connections with and appreciation of their classmates.
This experience may transform the way people look not only at communication, but at the opportunities that human relationships offer. Just as riding a bike or skiing can bring pleasure to some and great pain to those who lack the skills to stay upright and, as a result, suffer all sorts of scrapes and bruises, relationships provide little joy and too much pain to those who lack essential relational skills. To convince them that there can be real pleasure in relationships is akin to convincing an out-of-shape couch potato that skiing or riding a bike is better than watching a rerun of one of his favorite shows. In either case, there is nothing in the other's experience that correlates with the point you are trying to make, and much that suggests to him that you are wrong. Being in a group that engages in honest and effective feedback, as a result of which people really do get to know and appreciate each other, very often does much not only to develop the skills, but also to change this attitude.

4. Getting from There (a Typical Law School Class) to Here (Interpersonal Dynamics)

   a. It’s a Long Journey from the Typical Classroom

Once the class becomes engaged in the process of giving and receiving effective feedback, wonderful and amazing things begin to happen. As explained above, people learn tremendous amounts about themselves, and have opportunities to learn and practice new and more effective ways of relating to others. As the class goes on, people become more and more skilled; as they both give and receive more effective feedback the class itself continues to improve. In addition, by learning how to give and receive effective feedback, students learn the skill that will most likely enable them to continue to learn about themselves and others throughout their lives and they both establish, begin to appreciate, and learn how to make, meaningful and useful relationships among themselves.

Although the end result of the class is, for almost everyone, exceptional, getting to that end result is never easy. Although the behaviors I describe (paying attention to internal process, and expressing it) may seem simple, getting people to engage in those behaviors is not. The behaviors that make for productive learning of relational skills are exactly those behaviors that law students have been trained and encouraged not to engage in.

Law school tends to focus on, to teach, and to reward the same qualities that academics and attorneys already overemphasize--rational and logical analysis of external facts and theoretical propositions. Professors typically focus on both doctrine and the skill of legal analysis. In order to ensure that the students hear and attend to the teacher, all students in a large class face the teacher. When they ask or answer questions, they address their questions or answers to the teacher. Students do not look at each other and rarely talk to each other. When they do talk to each other, it tends to inspire the professor’s wrath or irritation (because they are not listening to the professor).

Typically, the professor will ask a question, a student will make a legal argument in response, and the quality of that legal analysis becomes the focus of everyone’s attention. We are not told, do not ask, and generally do not care, about how the student or anyone else feels about being called on, or the
“meaning” she makes of being called on (for example, whether she thinks to herself that the teacher is picking on her, or is embarrassed by the attention she is getting, or fears ridicule if she gives a wrong answer, or is engaged in and challenged by the theoretical discussion, etc.). Indeed, if a student, after being called on by a professor, began to discuss with her fellow students the thoughts and feelings she had about the professor at that moment, the professor and her fellow students would, after overcoming their initial shock, likely do whatever it took to get that student psychiatric help as soon as possible.

Although students in seminars and other small classes may sit facing each other, may be expected to talk to each other, and generally expect the environment to be more casual than it is in large classrooms, even these classes are still forums for the students to engage only in the type of legal discussion at which they have (hopefully) become skilled. While the students may talk about topics such as “Movies and the Law,” or “Law and Mathematics,” or “Ancient Blood Feuds” or almost anything else, and while they may engage in direct discussion with each other rather than have all of the discussion moderated and mediated by the professor, the type of discussion that goes on in these classes is still essentially the same—logical analysis and argumentation. A seminar is certainly not a forum for attending to one's own internal processes, for finding out about another's emotional reactions (except to the extent that those emotional reactions exhibit themselves in the form of persuasive argumentation), or for trying on new and potentially embarrassing behaviors. Appropriately, they allow for no more intimacy than is typical when people sit around a table discussing the law.

I do not mean to suggest that all other law school classes ought to be different than they are— that the student who is called on should explain what it "means" to her to be called on, or how she feels about being called on, instead of explaining the holding of the case or why that holding is right, wrong, consistent or inconsistent, etc. There is a time and a place for everything, and most law school classes are the time, and the place, to pay attention to legal doctrine, the art of legal reasoning and analysis, and the aspects of negotiation not covered in Interpersonal Dynamics. It is important, though, to note that the kinds of discussions that are most helpful in learning essential relational skills are those which are not simply frowned upon in the rest of law school classes, but are those which, if one engaged in during any other class, would likely lead her to be characterized as “insane” by the rest of the student body, as well as by the faculty.

Because students are so accustomed to attending to logical analysis and to determining right and wrong, listening to another’s statement in an effort to understand that person, rather than to determine whether that other is “right,” or making a self-descriptive statement without attempting to convince others of its accuracy or appropriateness, is difficult. Students are used to, and comfortable, explaining their theoretical analysis of facts and laws that are all outside of themselves. It takes some time to get comfortable with paying attention to their own reactions, as opposed to their conclusions about others, and to simply saying what those reactions are and what, exactly, they are reactions to.

Essentially, asking students accustomed to traditional law classes to come together in small groups to discuss their personal reactions and internal processes is somewhat akin to asking practicing attorneys to be naked in court. It seems crazy, inappropriate, and wrong, not to mention incredibly embarrassing. While some might be interested, and others embarrassed, to see what others have to reveal, almost
everyone would resist to their utmost revealing anything of themselves. Such is, at times, the beginning of the class in interpersonal dynamics.

b. Beginning: Creating Expectations

To create an environment conducive to the kinds of learning and activities described above, it is helpful, first of all, to work with students’ expectations. Because faculty expectations for this class are so different from those in other law or undergraduate classes, it is essential that students understand something of what the course is like prior to enrolling; and the more they understand about the possibilities and goals of the class, the better off they, and the class, will be. For some the very idea of the class is scary; to others it seems silly; and to others it is only confusing. For all, having an idea about what will be asked of them, what they can expect to learn, and the reactions of others who have already taken the course is important.

c. Safety

To have any hope of helping students give useful feedback to others (that is, disclosure of one’s own reactions), one needs to be in an environment that allows students to feel safe doing that—one where they might begin to be able to leave themselves open to awkwardness, embarrassment, connectedness, and other potentially uncomfortable emotions. In Interpersonal Dynamics, we begin by attempting to create an environment that, unlike either large classrooms or typical law school seminars, enables people to take the kinds of personal risks that can lead to real learning of relational skills and useful attitudes. While the class may have as many as thirty-six people in it and meets as a large group one day a week (one and a half hours per week), it is also divided into smaller groups of not more than twelve, with each group having two faculty leaders (facilitators). In addition to meeting for one and a half hours per week during the regular weekly class time, each small group meets for at least an additional four and one half hours per week, and for a full weekend together toward the end of the semester. Most of the real learning occurs in these small groups.

Since people will likely be more willing to disclose their internal processes (thoughts and feelings) directly to a few people they know than they would be to disclose the same things indirectly through hearsay to hundreds they do not know, and since some people may be embarrassed by what they do, what they say, or how they do it, confidentiality is necessary to create any semblance of the safety necessary for the kinds of learning anticipated. As a result, and as mentioned above, toward the beginning of class the small groups discuss the notion of, and are asked to reach an understanding with respect to, confidentiality. While knowledge of the confidentiality of any disclosures they may make will not eliminate the sense of risk they might feel, it at least ensures students that any embarrassment they do experience will likely be limited to the actual classroom setting.

d. Time

Engaging in the activities described above takes time. The kinds of inward looking behaviors typical of the class require each person to attempt to “slow down” her internal processes enough to be able to become more aware of them. Basically, people need an opportunity, and encouragement, to focus too
much on these areas, in order to gain the awareness and skills that will allow them to focus an appropriate amount at other times. We need a chance (indeed, encouragement) to intentionally "err" on the side of self-disclosure and self-expression, because the rest of the time we are encouraged to, and we do, consistently err on the other side. Only after having the experience of becoming more self-aware and being too self-disclosive can people even begin to look for the happy medium of appropriate disclosure. Without the awareness, learning and practice that come with over-emphasis on internal processes they simply have no choice.

In order to allow the time and opportunity to focus on the kinds of internal processes which, for most of us, usually occur automatically and outside of consciousness, the class meets for six, rather than three hours per week (one and one half hours as a full class, four and one half hours in small groups), and also has one full weekend of class time (almost exclusively in small groups). Students are in class for more than twice the amount of time they spend in the classroom in other courses. In addition, during that time, the class has no cases to read, no legal theory to discuss and no apparent distractions. While the very idea of that amount of time in close proximity to others, without any externally imposed task, and without distractions will itself be intimidating to many, it is necessary to allow students not just to learn how to give and receive effective feedback, but also to just do it.

e. The Role of Faculty

Having some idea of what is expected, being assured of confidentiality, and having adequate time is only a small part of what it takes to get from the typical law class to an environment that facilitates real learning of relational skills. When it comes down to it, most people come into the course with neither the ability nor the willingness to engage in the kinds of conversations that will ultimately prove the most helpful. Despite his or her best efforts, the prospect of engaging in honest self-disclosure is for almost everyone anxiety provoking. People worry about both embarrassing themselves and offending others.

As a result, the role, and the responsibilities, of the “teacher” are quite different from those typical of law professors. We neither lecture, nor use the Socratic Method, nor use any other techniques typical of most law classes. Our efforts are directed at (1) helping to create the kind of atmosphere that allows students to take the (potentially embarrassing) step of talking about their internal processes, (2) modeling the kinds of behaviors that we hope students will learn and which will allow the students, in turn, to learn what they need to about themselves and about others, and (3) helping focus discussion.

Initially, helping students feel safe enough to take risks involves creating a supportive and appreciative atmosphere and choosing not to push them to take risks for which they feel they are not ready. We provide encouragement and some guidance for students, positively reinforce students’ efforts and successes, and often find ourselves simply waiting to allow the process to begin.

Much of the faculty’s ongoing work consists of helping students focus internally to get and stay in touch with their own present internal processes (thoughts, feelings, etc.). In addition, we attempt to ensure maximum, and maximally diverse, feedback by ensuring both that everyone participates and that the students engage with each other rather than sequentially engaging with the facilitator. When people make general statements about their reactions to people in general (for example, “I don’t like when
people do Y”), we ask them to specify a person in the class and address that person. When they make statements about other people’s reactions to them, we ask them to check out their conclusions with actual others in the class.

Finally, faculty members participate in the giving and receiving of feedback. By doing so, we continually attempt to model how to give effective feedback, how to listen empathically, and the kinds of risk-taking that we seek to encourage.

f. How Things Might Start: Some Examples

In order to see how this process might work, below is a short example from a typical class. Because the class often begins with students being asked to agree to some sort of confidentiality, I begin at that point. A typical conversation about confidentiality might begin as follows:

A: Ok. Let's get our confidentiality agreement (picks up a pad and a pen).

B: (Smiling) I know this is law school, but I don't think we actually need a written agreement.

C: How about we just say, whatever we say in here stays in here. That's simple.

D: OK, but I can tell you, if that's the agreement, I'm not gonna stick to it. I know my wife is going to ask about what happened, and I can't just say "It's all confidential." I mean, I know I'm going to talk to her about what happens.

This simple, task-oriented conversation has likely generated lots of unspoken personal reactions, as the following draft of the same conversation suggests:

A: Ok. Let's get our confidentiality agreement (picks up a pad and a pen). [Unspoken, but conscious: I want to be involved in this class, whatever we do, so I might as well start it off. Also, in case I get bored, at least now I'll be able to doodle.]

B: (Smiling) I know this is law school, but I don't think we actually need a written agreement. [Unspoken, but conscious: A wants to write this all up. I thought for once this would be an interesting class, but he's already acting like a lawyer. I wish people at school would just lighten up. We can't agree on one simple thing without writing up a "contract."]

C: How about we just say, whatever we say in here stays in here. That's simple. [Unspoken, but conscious: we shouldn't take up any more time than necessary with this whole confidentiality thing. Who cares, anyway. Its not like we're really going to reveal any "confidential" information]

D: OK, but I can tell you, if that's the agreement, I'm not gonna stick to it. I know my wife is going to ask about what happened, and I can't just say "It's all confidential." I mean, I know I'm going to talk to her
about what happens. *Unspoken, but conscious: I do not want to be put in the position of having to break rules. I want to act in good faith, so I'm making sure we don't get an agreement that won't work. Plus, I don't see what difference it should make to anyone else if I tell my wife. It's not like she knows anyone at school anyway. But I want to make sure that we don't end up with a rule I'd have to break.*

C: *Unspoken, but conscious: I can't believe D is saying he's just going to ignore an agreement we make. If he doesn't like it, why doesn't he just say so, instead of telling everybody that he's just going to ignore it. That's incredibly disrespectful.*

I do not believe that the above re-writing of the original conversation is complete. With each of the participants, there are likely more thoughts and feelings that might emerge. Nor do I believe that this particular conversation has a lot more, or a lot stronger, unspoken elements than many in which we engage every day. Indeed, this is the kind of "straightforward" conversation in which we often engage. We tend to believe that we understand the others with whom we are dealing, and that if there is something we do not understand correctly about someone's position, they will let us know. Often, as in this case, we are simply wrong.

From a functional workplace perspective, the above groups' productivity would be enhanced if its members could communicate just a bit more clearly with each other, and if each one was sufficiently aware and competent to take whatever action might be needed to help her perform effectively in the group. For example, A has a fairly strong reaction to B's comment about not needing a "written agreement," and it is likely that her reaction will keep her from participating at her most effective level. If A could find a way to feel better and continue to participate, rather than remain stuck with hostility and a need to remain silent, she could likely contribute more effectively. She might reply to B "I wasn't going to write down an actual agreement. I just like having a pen and pad in my hands to take notes or just to doodle. I feel a little awkward about taking time to even talk about it, but I was feeling embarrassed and a little irritated, and I needed to say something." If A could do that, it is likely that A and B would soon have understood each other more clearly, and A would both feel better and work better.

Of course, as the re-written dialogue suggests, that kind of response would have required not only some skill, but also some self-awareness that A simply did not have (about her reaction to B). She was aware of thinking bad thoughts about B, and of having good reasons for not speaking any more, but he was not conscious of his resentment or of his embarrassment.

To see how one might "err" on the side of more complete self-awareness and expression, let us return again to the dialogue. The first problem with asking or expecting (or even hoping) A to respond in an appropriate and helpful manner is that A is not even quite aware that she feels embarrassed, irritated, and trapped. If she were to attempt to express herself as fully as she could, she might simply say: "I was not going to write up an "agreement," I was just going to take notes. Also, I like having a pen and paper, even if it's just to doodle. B is a jerk." Given A's awareness of the two possibilities of either remaining silent (as she does) or expressing her reaction to the extent she is conscious of it, as suggested here, it ought not be surprising that A chose not to speak in that situation. If A somehow could express
whatever she is conscious of, in an environment where it did not lead inevitably and directly to an intense conflict with B, she could make significant progress towards the greater self awareness, and skills, that could eventually enable her to communicate clearly and effectively. The conversation might go something like this:

A: "I was not about to write up an "agreement," I was just going to take notes. Also, I like having a pen and paper, even if it’s just to doodle with. B, you are a jerk."

T: I get the part about why you picked up the pad and pen. Can you say something more about the "B is a jerk" part. I’m guessing you have some feelings about that.
A: Obviously. It makes me angry.
T: What exactly makes you angry?
A: He was trying to make me look like an idiot, making fun of me.
T: Can you make that into a statement about yourself?
A: Sure. I think he was trying to make me look like an idiot and was making fun of me.
T: Do you want to check that out with B?
A: Not really….ok. B, is that what you were doing?
B: I thought you were going to write down an agreement and have us all sign it, like a contract. I was frustrated.
A: So why were you smiling?
B: Was I? I guess I was just trying to not seem frustrated. I didn’t want to be rude.

Although this is only a minor incident, at this point, it is likely that A might have a little more appreciation of the role of his feelings (anger and embarrassment) and the beginnings of a glimmer of recognition that his attributions (in this case, about B’s motivation) may not always be right. This same little bit of conversation might also open up substantial areas for the other participants. Possible further discussions might include the following:

With B
R: I don’t quite follow. What would be "rude" if you didn’t smile?
B: The fact that I was frustrated with her—that I was pissed. All she did was pick up a pad and pen. I didn't have any right to get annoyed at her. Nobody else was.
R: Are you sure? That’s something you can check out with others if you want.
B: OK, was anyone else bothered when A picked up her pad and pen?
Silence, shaking of heads

F: Well, I was a little concerned that it looked like the confidentiality thing might take longer than I wanted, but it didn't really bother me.
B: So I was right. I should have just let it go. It shouldn't have bothered me.

A: What made you think I was going to write a formal contract? I mean, I admit, that would be a bit much.
B: It just seems to be the kind of thing that always happens in law school. Everybody always makes sure they get all the details and get everything in writing. Everybody's so serious all the time. It's just not my style. I just wish people would “lighten up” a little.
Y: B, I hear you saying that “everyone” is like that. I don't think I am. Who are you referring to? Anyone here?
B: Hmm, good question. Actually, I don't know. I guess I just assume everyone is. I guess I assumed A was.

While this, as the above conversation with B, is relatively brief, it is the kind of conversation that might well be repeated several times over the course of the semester. By the end of the class, B, as A, is likely to learn at least that his attributions (in this case, about all other law students being “serious all the time”) are often inaccurate. At some time he may also begin to see how he attempts not to express his feelings directly, because he thinks it is rude to do so, and may begin to learn that the effect of that is often not to appear “well-mannered,” but to appear more “rude” than he is. Hopefully, he will have opportunities to express his feelings directly, to become more aware of them, and to begin to become aware of the attributions and “automatic thoughts” that lead him to have those feelings (i.e., the belief that all law students want to be serious and “lawyerly” all the time). With that growing awareness of the thoughts and attributions he has that lead to the feelings he has, he will become more able to check out the conclusions he draws, and more able to take responsibility for and to communicate (as his own reactions, rather than as A’s misbehavior) his feelings. Given enough time, B might even start to see how his belief that others are always serious and detail-oriented may lead him to reject them, which in turn will tend to cause them to reject him, which in turn will reinforce his belief that those others are simply “too serious” for him.

With C and D

C: How about we just say, whatever we say in here stays in here. That's simple.

D: OK, but I can tell you, if that's the agreement, I'm not gonna stick to it. I know my wife is going to ask about what happened, and I can't just say "It's all confidential." I mean, I tell her everything.
C: You're just going to ignore the agreement?

D: If we have one that says we can't tell anybody anything.

Q: C, you seemed to just jump up at that. It's my guess you had some feelings about it.
C: Yeah. If D is just going to ignore whatever we do, it doesn't make any sense to do anything.
T: How do you feel about that?
C: I guess irritated, a little angry. I don't want to put time into something and just have somebody ignore it.
D: Wait a minute. I didn't ignore anything, and I wasn't going to. That's why I said something, because I wanted a rule I can live with.
C: So why did you just say we should go ahead and you'd just ignore whatever rule we made?
D: I didn't say that....Did I?
G: I don't think so.
H: I thought you were just wanting a less harsh rule.
I: Yeah, you did say something like "go ahead. I'll just ignore it."
D: That's amazing. I definitely did not mean that I'd ignore the rule. I was hoping we could get a little more flexible rule, cause I know BettyLou is going to want to know what happened, and I know I won't just be able to not say anything. I didn't want to come out and say "that rule is no good," so I tried to point out how hard it would be. And I bet there are a bunch of you who have spouses or somebody you're going to tell.
W: Yeah, that's probably right
M: Yeah, me too.
C: Well that's fine with me.
Again, in a relatively brief conversation, C may begin to see that his attributions are not always right, and may begin to learn to check out his conclusions even when they seem correct. While D may not have learned anything explicitly from this conversation, it is likely that, during the semester, he will respond to other suggestions in a manner similar to the way he did here ("ok, but..." rather than "not ok because"). Because of the group's focus on internal process and communication, it is likely that someone will note that repeated response. Perhaps D will learn, later in the group, that he says "ok, but" rather than simply pointing out that C's proposed rule won't work for him because he believes that C will be offended by D's disagreement, and that he wants to avoid offending C. In time he may learn that by feigning agreement when he does not agree, he may tend to provoke more hostility than if he simply expressed his concerns directly. He may get some practice at more clear and direct expression, may begin to understand some of the automatic reactions he has that have gotten in the way of that kind of expression (perhaps some rule he follows that suggests "it is wrong to disagree with someone"), and may find that direct expression of disagreement need not alienate anyone, and likely can improve communication for him.

5. More Examples

Unlike almost every other law class, Interpersonal Dynamics does not focus on any particular content. It is focused primarily on process. As a result, no one class is like any other. Different people bring
different experiences, thoughts, emotions and reactions to the class, and different groups progress through very different paths. As a result, it may be useful to see more examples of typical scenes from different classes. What follows are a few situations that have arisen in classes (with minor chances to preserve confidentiality for participants).

**a. The “Conceit Conceit”**

Allen (to Paul): You know, I can’t say exactly why, but I sort of get the impression that you think you’re better than everybody else. It kind of bugs me a little.

Paul: No I don’t. Not at all.

Allen (to the group): See. That’s what I mean. It’s always like “you’re wrong. The end.” Like you don’t care about it at all. Like nobody else matters to you.

Donna: Well, I don’t know. Allen, you say Paul doesn’t even care, but Paul, you look upset to me.

Paul: Well, how would you like it if someone said those things to you?

Allen: See. There you go again. It’s always everybody else who’s doing something, like you don’t have any part in it or anything to do with it.

Felicia: Allen, I don’t have any idea what you’re talking about. You just come out of the blue saying how Paul thinks he’s better than everybody else, and I don’t know where you get that. You’re attacking Paul and it’s not fair.

Jess: I gotta admit, Paul, I get the same impression—that you’re just above it all, and we’re just not interesting to you. And it bugs me, too, sometimes.

Frank: Jess, and Allen, can you say what it is that Paul did that makes you think that?

Allen: Yeah, I’ll try.... When anyone else is talking, he just sits back with his hands behind his head, doesn’t even look at the person, like he’s totally uninterested.

Facilitator: Can you give a specific example, and also can you talk to Paul, not to me?

Allen: Sure—twenty minutes ago, when I was talking about how I screwed up that interview. You were just sitting back and looking down at the ground, like it was totally irrelevant to you.

Facilitator: Do you want to check that out with Paul—find out whether he was interested or not, and what was going on with him?

Allen: Sure (looks at Paul).

Paul: I was interested in what you were saying. I’ve got an interview coming up tomorrow, and it made me start worrying about that a little, and I felt bad for you, and it also reminded me of an interview I had last year that I screwed up. If you want to know why I didn’t look at you, it was because it seemed like everybody was looking at you, and I felt kind of bad for you, like everybody should give you a break and not just stare at you and put you on the spot.

Allen: Wow. That’s hard to believe. You were just leaning back, looking so confident and bored.

Paul: Actually, I was just really uncomfortable for you, and worried for myself at the same time.
Rhonda: To be honest, Paul, I've had the same reaction Allen had, even though now what you say makes sense.

This scene was quite rich in terms of students' learning essential communication skills. Paul learned that certain of his behaviors convey, to a sizeable number of people, arrogance and disrespect, even though what he is actually feeling may be quite different. If nothing else, he is now aware of the specific behaviors that trigger those reactions, and, if he chooses, he will now be able to work on changing those behaviors.

Allen has learned that when he makes attributions into someone else's state of mind based on limited evidence, he may well be wrong, regardless of how certain he feels, and regardless of whether others feel the same way. He, and others, have hopefully learned the value of checking out certain assumptions before acting on them. He may have also just begun to learn that he will be more likely to get his message across and get the kind of response he seeks if he does not try to communicate his own attributions (for example, that Paul is disinterested or bored or thinks he's better than others) as “truth,” but if he instead points to specific behaviors, and explains his own reaction to those behaviors as his reaction rather than as truths about someone else.

b. Under and Overbearing

Barb: Well, since nobody else is talking, I guess I might as well ask for some feedback about what happened with me and Alicia last week, whether you all think I was being unfair, or if anybody wants to tell me.

Tess: To be honest, I don't even remember what happened. I'm more interested in finding out about how Jan's weekend went. (looks at Jan)

Jan: It was great. We went out on Friday and on Saturday and on Sunday. I think I'm in love.

Ben: I want to know why that never happens to me.

Tom (smiling): It's cause you're ugly as a dog. (everyone laughs)

Facilitator: Barb, what's going on with you now?

Barb: I don't know. I shouldn't have asked about last week. It was old stuff.

Facilitator: It looks like you’ve sunk down about a foot in your chair.

Barb: Well, it's just that it seems like whenever I bring something up, it's not interesting or not important, I guess. It just sinks like a lead balloon. It's kind of depressing.

Fran: If I were you, I wouldn't have been depressed; I would've been pissed. You asked a question and Tess just completely ignored it and cut you off.

Barb: Actually, you're right. Tess, that was pretty rude and selfish.

Tess: I'm sorry. I thought you didn't really care one way or the other. It didn't seem like you were really interested anyway.

Barb: Actually, I was really interested in getting that feedback. The only reason I waited was because I didn't want to interrupt anybody else.
Tom: You know, Barb, I'm with Tess on this one. It seemed to me that you weren't really very interested in getting feedback, the way you just kind of said, "since nobody's talking, maybe I'll ask . . . ."

Fran: Now that I think about it, Barb, I think you do that a lot. You seem to preface your comments with something like, "well, just to say something," or "it really doesn't matter, but . . ." So most of the time when you talk, I sort of tune out, cause I figure if it's not that important to you, it won't be very interesting to me.

Jan: Yeah, I've noticed the same thing. I don't completely tune out, but it seems like you often kind of put yourself down before you talk, or you make some comment to kind of suggest that what you're going to say isn't very important.

Barb: Hmm. Maybe I do. I wonder why. I guess I don't want to push anyone else out of the way, and maybe I'm a little afraid that people won't be interested, so I sort of cushion myself against hearing that.

Jan: I couldn't say why, and I'm not sure I even care about why, but I can say how it impacts me. And that's that it does kind of make me less interested in what you're going to say.

Tess: I'm sorry, but I've got to say I really didn't like what Barb said to me, and the more I think about it, the angrier I get. You were telling me that I was rude and selfish, and I wasn't. Just like everybody else said, you set yourself up. I actually would've been happy to wait to ask Jan about the weekend, but I had no idea you really wanted feedback, and then I get labeled as rude and selfish. It's not true. And it seems like you can get away with that because you're so quiet and everybody wants you to talk, but I get stuck having to just accept your labels, and I don't like it.

Barb: Yeah, I guess I can see your point. I should have said that I was angry and felt interrupted, instead of just labeling you as rude or selfish.

Tess: Thanks. I appreciate that. And I can see how you could have felt interrupted. I really didn't know . . .

The above scene actually represented a mini breakthrough for Barb. In addition to beginning to learn that stating behaviors and their impact on her is, in general, a much more effective way to communicate than to label someone else, she began to see how often and in how many ways she tended to belittle herself, and she began to intentionally stop herself from doing so. While to this day she is still working on the same issue, she has made significant progress, and her representation, interviewing, and negotiation skills have increased dramatically.

c. Valentine’s Day

Ann: I kind of resent that class tonight goes until 10:00. I mean, it's Valentine's Day, and even though I like the class, we meet way more than any other class. I know we can't cancel class, and I wouldn't want to, but I was wondering if we could just end at 9:00, so we could at least have a little time to go out and celebrate.

Ron: I totally support that idea. My fiancé has been giving me an incredibly hard time for missing
Valentine’s Day, and she just won’t believe that I can’t miss class, especially since I have been known to miss a class or two in the past.

Lee: I think that’s a great idea.

John: Me too. Let’s take a vote.

Bill: Well, I got a problem with cutting class short. I take this class seriously, and I’ve committed every Thursday night to this class, and I intentionally don’t make any plans for Thursday nights because I know we have this class. And I’ve got to admit that I feel a little resentful that you don’t seem to take the class very seriously. I know it’s fun to go out and party, but we all agreed that we would meet until 10:00 every week, no exceptions. I’ve committed to doing that, and you did too.

Ann: I don’t like your characterization of me as not committed. I obviously am committed. I’m here now. I didn’t blow off the class. I’m just suggesting that we could leave a little early on Valentine’s Day. I’m not breaching any contract, and it sounds like that’s what you’re accusing me of doing.

Bill: I didn’t exactly "AmJur" Contracts, so I don’t want to go down that road too far, but my point is that we all made an agreement, and I relied on that agreement and cleared out every Thursday night on my calendar, including tonight, for this class. Now, in the middle of the semester, you want to make up exceptions, and it’s not right.

Lee: Look, Bill, nobody's making exceptions or canceling class. John just said we should vote on it. If a majority wants to stay, we’ll all stay.

Sandy: I agree. It’s not like anybody's refusing to come. But we all decided to stay until 10:00, and if we want, we can all decide to leave early on one night.

Bill: I don’t think we could cancel class or stop early anyway. Rosenberg said during the first week that we have to meet at least three hours a week in this group, and if we miss one class we fail. He wouldn’t let us leave early even if we wanted to. (looks at Tom, who is one of the co-facilitators of this group)

Tom: Actually, I’m not sure what the rule would be . . .

Ann: If we left early tonight, we could just make it up some other night, tack on an extra hour.

Sandy: Yeah, or we could like just tack on an extra five minutes each week for the rest of the semester. It’s just not a big deal.

Ann: So, Tom, could we vote on it?

Bill: Just a reminder, when we agreed to meet every Thursday, it was by a unanimous decision, not just a majority vote. If we decided to change our agreement, it would have to be the same way.

Brenda: Bill, what the f... is your problem?

Tom: Brenda, can you turn that question to Bill into a statement about yourself-your feelings and thoughts-and about what you’re observing?

Brenda: Good idea. I guess I was being kind of nasty. Well, I’m feeling really frustrated. Bill, it seems
to me that whatever anyone says about ending early, you have a rebuttal. Each of your statements is logical and makes sense, but just the fact that you're so quick to rebut makes me think that there's more to it. It's like you're just set against ending early, and even though you give a lot of reasons, I guess I don't really believe them. It makes me think there's something else going on, and I have no idea what it is.

Bill: I don't know . . . I guess I look forward to coming here, and I don't like it that everyone else seems to look forward to leaving early. It kind of makes me feel like a sap. Like I must be a real dork to like coming here because everybody else has something better to do.

Tami: Well you're not the only one who likes coming here. I do, and even Ann said she likes it, or didn't want to cancel. I think lots of us like it. Some people just want to leave a little early because it's Valentines Day.

Bill: Yeah . . . I know . . . I guess I just know that if we leave early, Ann's going out with her husband, and Ron's going out with his fiancé, and everybody's got somebody. And I'd go home to an empty apartment to study the UCC, and it just really sucks. My girlfriend broke up with me about six months ago, and I'm getting to just hate going home alone . . .

Silence

Ann: Boy, does that make a lot more sense to me. I know what that's like.

Brenda: Me too. It makes me understand you better and it makes me feel closer to you than when you were being, well, than the way you were being before.

(several people nod in agreement)

The class continued well after this scene. Most members of the group went from feeling irritated or frustrated with Bill, who was making more and more petty arguments against leaving early, to feeling much closer and more empathic with him. As it turned out, the subject of leaving early was dropped. The group stayed until 10:00, and at the end of the group, one member asked Bill if he wanted to go get a beer.

To quote a term often used in legal negotiation classes, effective negotiating often requires understanding one's own, as well as the other party's "interests" rather than merely knowing "positions." What Professors Fischer, Ury and Patton meant by this, and what every student who takes negotiation learns, is that in order to have any hope of reaching a "win-win" resolution, it is essential to know what the parties really want, and what would make them satisfied with the negotiation. Unless the other party knows what I want, it will be very difficult for them to give it to me. In this scene, it turned out that Bill really wanted to not feel lonely at the end of the night, and he got what he wanted. If nothing else, Bill learned the difference, in Fischer's terms, between interests and positions.

Bill also learned much more. He saw that often one's real interests are not obvious, even to oneself, and that it is well worth taking some time to learn them. Finally, and perhaps most importantly, he learned that it is often (if not always) difficult to persuade by logical argument, and that it can be much more persuasive to actually be a little personally revealing of one's own weakness. This last lesson is likely to be extremely helpful in his relationships with others at his firm and with clients. More surprisingly, if
used properly, this understanding may also serve him very well in future negotiations with opposing attorneys.

e. Comments on the Examples/Integration of Learning

None of the above examples show people with perfect self-awareness or giving perfect feedback. Those are not levels we humans ever attain. Nonetheless, as the class goes on, self-awareness, listening skills, and clear, non-blaming self-expression and assertion grow geometrically. The class is not about each individual having an epiphany, but about everyone learning something continuously.

Some students learn how to “talk the game,” early on. They quickly learn to state their observations, then their thoughts, and then their feelings and wants. For a small number of these, the mere separation of observations, thoughts, feelings and wants is enlightening, and enables them to both receive and give effective feedback, and provides a jump in self-awareness. For most, that is not the case.

For many students, it takes a while, and numerous incidents, to begin to understand what we try to teach. Many find it difficult to actually separate behavioral observations from the conclusions they draw from those observations—to realize that their attempts at “mind-reading” are something other than mere observations of reality. Others are able, relatively quickly, to separate out their observations from their attributions, but find themselves unable to specify their feelings about their observations and attributions, because they have none, or at least none of which they are aware. Students proceed at very different paces, and each student’s learning is unique.

Because people learn at different paces, because there is no single template that can be universally applied, and because not even the best students reach perfect mastery, the class will never eliminate miscommunication and misunderstandings. It can, though, give people the awareness and skills necessary to both decrease the amount of miscommunication that occurs and to notice and correct misunderstandings that do arise.

To see how these skills might translate into the workplace, return to the typical Partner-Associate interaction discussed above, in which A works hard, albeit with some misdirection, to bring P a research memo. There, the notion that A might have asked for more guidance before leaving P’s office was likely obvious even to A. While the intellectual obviousness of A’s preferred action might suggest to some that Interpersonal Dynamics is unnecessary, I believe it suggests just the opposite. We do not need the course simply, or even primarily, to learn what we ought to do in any situation; we also need the course in order to be able to actually do it.

6. It is not Psychotherapy

When I first began teaching the class, some faculty members, and some students, believed that the notion of teaching Interpersonal Dynamics, and the way that I proposed to teach it, were silly. I was allowed to teach it, at first, only as an overload, and only because I could point to the success of the
same course at the Stanford Business School under David Bradford. Every semester, however, a majority of the students in the class explain, to other students and to whatever faculty will listen, that the course was the most useful and most rewarding class they have ever had. As time passes, more and more of the faculty have become supporters of the class simply because they have noticed, or heard about, the results.

Quick to follow the suggestions that the class was somehow less than it ought to be, though, were suggestions that, somehow, the class was something more than it ought to be. Both some faculty and some students who had heard bits and pieces about the class suggested that it was “psychotherapy,” and was inappropriate to the law school setting. In fact, Interpersonal Dynamics is no more psychotherapy than the training that athletes get is “physical therapy.” The course is not intended to, or able to, cure mental illness. It is intended to teach certain relational skills and self-awareness. It does a much better job at teaching these than does psychotherapy, but it does nothing to cure mental illness. Some of the tools that we use are similar to some of the tools that psychotherapists may use, but then, much exercise equipment used by world class athletes is also used by physical therapists, and much dietary advice developed for the sick has turned out to be incredibly valuable to enable the very healthy to stay healthy.

Some have suggested to me that it could be “dangerous to get people to explore their thoughts and feelings.” The apparent dangers inherent in this exploration are similar to the dangers feared by those who oppose labeling food ingredients—we might not like what we see. Just as the danger with toxic foods is not knowledge of the toxicity, but consumption of the food, the danger with our thoughts and feelings is not awareness, but is in acting without awareness. That said, I do want to emphasize that it is of utmost importance to the facilitators to ensure that people feel “safe.” Personal boundaries are respected, people are asked not to push themselves to speak about things that make them too uncomfortable, and we ensure that people are not forced to listen to feedback or attributions that would push them too far. While we ask people to push themselves, neither the facilitators nor the students are allowed to push others in ways they make clear they do not wish to go. The reason for this is not so much that people might be “in danger,” but that their learning would be. Just as stress often causes poor communication in negotiations, stress in class decreases, often significantly, a student’s ability to learn.
AFFIRMATION

I, __________________________________________________________ (print your name), have read the above description of Interpersonal Dynamics as well as the article on “Interpersonal Dynamics,” that is the first assignment in this class. I understand the requirements for this class, and I agree to follow them.

Signed: __________________________________________________________

Date:________________________


Real-Time Self Assessment

Please do this for two days prior to the start of class. The chart below asks you for information about the feelings, thoughts, and wants/needs you experience throughout the day. I suggest that you set some sort of alarm to go off every hour or two for two days. When the alarm goes off (try for at least 6 times a day for two days), very briefly fill in the chart (feel free to make as many copies of the chart as you need—don’t try to fit everything onto one page) with either what is going on for you at the moment or the most significant thing that went on for you since your last entry. Your entries should be very brief—just enough so that you can remember what was going on. You can probably put 1 or 2 entries into 1 page. Reproduce the page that follows to use for making your entries. I am asking you to do this as a way to increase your self-awareness—so that you start to notice your own patterns. You might start to become more aware of how often you think about certain topics and ways in which you think about those topics; and/or how often you experience certain feelings and what thoughts or events tend to trigger those feelings for you; and/or ways that you typically act and how effective those actions are at getting you what you want or need.
<table>
<thead>
<tr>
<th>Brief factual description of the incident</th>
<th>Emotion(s)/Physical sensations</th>
<th>Intensity (1-10)</th>
<th>Thoughts/judgments/</th>
<th>My Behavior: What I did/said</th>
<th>My Wants</th>
</tr>
</thead>
</table>

Excerpts from Rosenberg, Nonviolent Communication, part 1

There are four components to clear and effective communication:

1. **Observations**: Observe what is actually happening in a situation: what are you observing others saying or doing that is either enriching or not enriching your life? The trick is to be able to articulate this observation without introducing any judgment or evaluation—to simply say what people are doing that you either like or don’t like.

2. **Feelings**: State how you feel when we observe this action: are you hurt, scared, joyful, amused, irritated? Again, the key is to be able to share how you are feeling, not to indirectly label, judge, or evaluate the other person.

3. **Self-disclosure**: Say what it is about you (not about the other person), that makes you react this way—what is it about your history or your values or your needs and thinking that explains your feeling reaction.

4. **Requests**: Say what you want, affirmatively, specifically and behaviorally.

There are two different ways that these four components are important:

1. **Expressing** honestly through the four components

2. **Receiving** empathically through the four components

Part of NVC is to express these four pieces of information very clearly, whether verbally or by other means. The other part of this communication consists of receiving the same four pieces of information from others. This part of the reading addresses the expression of the four components.

**What gets in the way**

Separating your statements into these four components can be very difficult. What follows is an explanation of what can get in the way.

**a. Moralistic Judgments**: Analyses of others are actually expressions of our own needs and values

Certain ways of communicating alienate people. One kind of alienating communication is the use of moralistic judgments that imply wrongness or badness on the part of people who don’t act in harmony with our values. Such judgments are reflected in language: “The problem with you is that you’re too selfish.” “She’s lazy.” “They’re prejudiced.” “It’s inappropriate.” Blame, insults, put-downs, labels, criticism, diagnoses are all forms of judgment. When we speak this language, we judge others and their behavior while preoccupying ourselves with who’s good, bad, normal, abnormal, responsible, irresponsible, smart, ignorant, etc.
Long before I reached adulthood, I learned to communicate in an impersonal way that did not require me to reveal what was going on inside myself. When I encountered people or behaviors I either didn't like or didn't understand, I would react in terms of their wrongness. If my teachers assigned a task I didn't want to do, they were "mean" or "unreasonable." If someone pulled out in front of me in traffic, my reaction would be, "You idiot!" When we speak this language, we think and communicate in terms of what's wrong with others for behaving in certain ways or, occasionally, what's wrong with ourselves for not understanding or responding as we would like. Our attention is focused on classifying, analyzing, and determining levels of wrongness rather than on what we and others need and are not getting. Thus if my partner wants more affection than I'm giving her, she is "needy and dependent." But if I want more affection than she is giving me, then she is "aloof and insensitive." If my colleague is more concerned about details than I am, he is "picky and compulsive." On the other hand, if I am more concerned about details than he is, he is "sloppy and disorganized."

All such analyses of other human beings increase defensiveness and resistance among the very people whose behaviors are of concern to us. Conversations that begin with criticism almost always end badly, and the critic almost never gets what she wants (unless all she wants is to alienate the other person. Furthermore, each time others associate us in their minds with criticism or judgments directed at them, the likelihood of their responding compassionately to our needs and values in the future decreases.

It is important here not to confuse value judgments and moralistic judgments. All of us make value judgments as to the qualities we value in life; for example, we might value honesty, freedom, or peace. Value judgments reflect our beliefs of how life can best be served. We make moralistic judgments of people and behaviors that fail to support our value judgments; for example, "Violence is bad. People who kill others are evil." Had we been raised speaking a language that facilitated the expression of compassion, we would have learned to articulate our needs and values directly, rather than to insinuate wrongness when they have not been met. For example, instead of "Violence is bad," we might say instead, "I am fearful of the use of violence to resolve conflicts; I value the resolution of human conflicts through other means."

The relationship between language and violence is the subject of psychology professor O.J. Harvey's research at the University of Colorado. He took random samples of pieces of literature from many countries around the world and tabulated the frequency of words that classify and judge people. His study shows a high correlation between frequent use of such words and frequency of incidents. It does not surprise me to hear that there is considerably less violence in cultures where people think in terms of human needs than in cultures where people label one another as "good" or "bad" and believe that the "bad" ones deserve to be punished.

At the root of much, if not all, violence—whether verbal, psychological, or physical, whether among family members, tribes, or nations—is a kind of thinking that attributes the cause of conflict to wrongness in one's adversaries, and a corresponding inability to think of oneself or others in terms of vulnerability—that is, what one might be feeling, fearing, yearning for, missing, etc. We saw this
dangerous way of thinking during the Cold War. Our leaders viewed the U.S.S.R. as an "evil empire" bent on destroying the American way of life. Soviet leaders referred to the people of the United States as "imperialist oppressors" who were trying to subjugate them. Neither side acknowledged the fear lurking behind such labels.

b. Making Comparisons

Another form of judgment is the use of comparisons. In his book How to Make Yourself Miserable, Dan Greenburg demonstrates through humor the insidious power that comparative thinking can exert over us. He suggests that if readers have a sincere desire to make life miserable for themselves, they might learn to compare themselves to other people. For those unfamiliar with this practice, he provides a few exercises. The first one displays full-length pictures of a man and a woman who embody ideal physical beauty by contemporary media standards. Readers are instructed to take their own body measurements, compare them to those superimposed on the pictures of the attractive specimens, and dwell on the differences.

This exercise produces what it promises: we start to feel miserable as we engage in these comparisons. By the time we're as depressed as we think possible, we turn the page to discover that the first exercise was a mere warm-up. Since physical beauty is relatively superficial, Greenburg next provides an opportunity to compare ourselves on something that matters: achievement. He turns to the phone book to give readers a few random individuals to compare themselves with. The first name he claims to have pulled out of the phone book is Wolfgang Amadeus Mozart. Greenburg lists the languages Mozart spoke and the major pieces he had composed by the time he was a teenager. The exercise then instructs readers to reflect on their own achievements at their current stage of life, to compare them with what Mozart had accomplished by age twelve, and to dwell on the differences.

Even readers who never emerge from the self-induced misery of this exercise might see how powerfully this type of thinking blocks compassion, both for oneself and for others.

c. Denial of Responsibility

Another kind of alienating communication is denial of responsibility. Communication is life-alienating when it clouds our awareness that we are each responsible for our own thoughts, feelings, and actions. The use of the common expression have to, as in "There are some things you have to do, whether you like it or not," illustrates how personal responsibility for our actions can be obscured in speech. The phrase makes one feel, as in "You make me feel guilty," is another example of how language facilitates denial of personal responsibility for our own feelings and thoughts.

We deny responsibility for our actions when we attribute their cause to factors outside ourselves:

* Vague, impersonal forces-"I cleaned my room because I had to."
* Our condition, diagnosis, or personal or psychological history-"I drink because I am an alcoholic."
* The actions of others-"I hit my child because he ran into the street."
* The dictates of authority- "I lied to the client because the boss told me to."
* Group pressure- "I started smoking because all my friends did."
* Institutional policies, rules, and regulations- "I have to suspend you for this infraction because it's the school policy."
* Gender roles, social roles, or age roles- "I hate going to work, but I do it because I am a husband and a father."
* Uncontrollable impulses- "I was overcome by my urge to eat the candy bar."
1. Separating Observation from Evaluation

The first component of NVC entails the separation of observation from evaluation. We need to clearly observe what we are seeing, hearing, or touching that is affecting our sense of well-being, without mixing in any evaluation. Observations are an important element in NVC, where we wish to clearly and honestly express how we are to another person. When we combine observation with evaluation, we decrease the likelihood that others will hear our intended message. Instead, they are apt to hear criticism and thus resist whatever we are saying.

*Distinguishing Observations From Evaluations*

The following table distinguishes observations that are separate from evaluation from those that have evaluation mixed in.

<table>
<thead>
<tr>
<th>Communication</th>
<th>Example of observation with evaluation mixed in</th>
<th>Example of observation separate from evaluation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Use of verb to be without indication that the evaluator takes responsibility for the evaluation</td>
<td>You are too generous.</td>
<td>When I see you give all your lunch money to others, I think you are being too generous.</td>
</tr>
<tr>
<td>Use of verbs with evaluative connotations</td>
<td>Doug procrastinates.</td>
<td>Doug only studies for exams the night before.</td>
</tr>
<tr>
<td>Implication that one's inferences about another person's thoughts, feelings, intentions, or desires are the only ones possible</td>
<td>She won't get her work in</td>
<td>I don't think she'll get her work in. Or she said, &quot;I won't get my work in.&quot;</td>
</tr>
<tr>
<td>Confusion of prediction with certainty</td>
<td>If you don't eat balanced meals, your health will be impaired.</td>
<td>If you don't eat balanced meals, I fear your health may be impaired.</td>
</tr>
</tbody>
</table>
NVC does not mandate that we remain completely objective and refrain from evaluating. It only requires that we maintain a separation between our observations and our evaluations. NVC is a process language that discourages static generalizations; instead, evaluations are to be in context. Semanticist Wendell Johnson pointed out that we create many problems for ourselves by using static language to express or capture a reality that is ever changing: "Our language is an imperfect instrument created by ancient and ignorant men. It is an animistic language that invites us to talk about stability and constants, about similarities and normal and kinds, about magical transformations, quick cures, simple problems, and final solutions. Yet the world we try to symbolize with this language is a world of process, change, differences, dimensions, functions, relationships, growths, interactions, developing, learning, coping, complexity. And the mismatch of our ever-changing world and our relatively static language forms is part of our problem."

Note: The words always, never, ever, whenever, etc. express observations when used in the following ways:
- Whenever I have observed Jack on the phone, he has spoken for at least thirty minutes.
- I cannot recall your ever writing to me.

Sometimes such words are used as exaggerations, in which case observations and evaluations are being mixed:
- You are always busy.
- She is never there when she's needed.

When these words are used as exaggerations, they often provoke defensiveness. Words like frequently and seldom can also contribute to confusing observation with evaluation.
<table>
<thead>
<tr>
<th>Evaluations</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>You seldom do what I want</td>
<td>The last three times I initiated an activity, you said you didn't want to do it</td>
</tr>
<tr>
<td>He frequently comes over.</td>
<td>He comes over at least three times a week.</td>
</tr>
</tbody>
</table>
Exercise-1

OBSERVATION OR EVALUATION?

To determine your proficiency at discerning between observations and evaluations, complete the following exercise. Circle the number in front of each statement that is an observation only, with no evaluation mixed in.

1. "John was angry with me yesterday for no reason."

2. "Yesterday evening Nancy bit her fingernails while watching television."

3. "Sam didn't ask for my opinion during the meeting."

4. "My father is a good man."

5. "Janice works too much."

6. "Henry is aggressive."

7. "Pam was first in line every day this week."

8. "My son often doesn't brush his teeth."

9. "Luke told me I didn't look good in yellow."
10. "My aunt complains when I talk with her."

Here are my responses for Exercise 1:

1. If you circled this number, we're not in agreement. I consider "for no reason" to be an evaluation. Furthermore, I consider it an evaluation to infer that John was angry. He might have been feeling hurt, scared, sad, or something else. Examples of observations without evaluation might be: "John told me he was angry," or "John pounded his fist on the table."

2. If you circled this number, we're in agreement that an observation was expressed without being mixed together with an evaluation.

3. If you circled this number, we're in agreement that an observation was expressed without being mixed together with an evaluation.

4. If you circled this number, we're not in agreement. I consider "good man" to be an evaluation. An observation without evaluation might be: "For the last twenty-five years, my father has given one-tenth of his salary to charity."

5. If you circled this number, we're not in agreement. I consider "too much" to be an evaluation. An observation without evaluation might be: "Janice spent more than sixty hours at the office this week."

6. If you circled this number, we're not in agreement. I consider "aggressive" to be an evaluation. An observation without evaluation might be: "Henry hit his sister when she switched the television channel."

7. If you circled this number, we're in agreement that an observation was expressed without being mixed together with an evaluation.
8. If you circled this number, we're not in agreement. I consider "often" to be an evaluation. An observation without evaluation might be: "Twice this week my son didn't brush his teeth before going to bed."

9. If you circled this number, we're in agreement that an observation was expressed without being mixed together with an evaluation.

10. If you circled this number, we're not in agreement. I consider "complains" to be an evaluation. An observation without evaluation might be: "My aunt called me three times this week, and each time talked about people who treated her in ways she didn't like."
2. Feelings

Our repertoire of words for calling people names is often larger than our vocabulary of words to clearly describe our emotional states. I went through twenty-one years of American schools and can't recall anyone in all that time ever asking me how I felt. Feelings were simply not considered important. What was valued was "the right way to think" as defined by those who held positions of rank and authority. We are trained to be "other-directed" rather than to be in contact with ourselves. We learn to be "up in our head," wondering, "What is it that others think is right for me to say and do?" This difficulty in identifying and expressing feelings is common, and in my experience, especially so among lawyers, engineers, police officers, corporate managers, and career military personnel-people whose professional codes discourage them from manifesting emotions.

I regularly hear statements like, "I wouldn't want you to get the wrong idea-I'm married to a wonderful man-but I never know what he is feeling." One such dissatisfied woman brought her spouse to a workshop, during which she told him, "I feel like I'm married to a wall" The husband then did an excellent imitation of a wall: he sat mute and immobile. Exasperated, she turned to me and exclaimed, "See! This is what happens all the time. He sits and says nothing. It's just like living with a wall."

"It sounds to me like you are feeling lonely and wanting more emotional contact with your husband," I responded. When she agreed, I tried to show how statements such as "I feel like I'm living with a wall" are unlikely to bring her feelings and desires to her husband's attention. In fact, they are more likely to be heard as criticism than as invitations to connect with our feelings. Furthermore, such statements often lead to self-fulfilling prophecies. A husband, for example, hears himself criticized for behaving like a wall; he is hurt and discouraged and doesn't respond, thereby confirming his wife's image of him as a wall.

The benefits of strengthening our feelings vocabulary are evident not only in intimate relationships but also in the professional world. In another instance, I was working with hospital administrators who were anxious about a forthcoming meeting with the hospital's physicians. The administrators were eager to have me demonstrate how they might use NVC when approaching the physicians for support for a project that had only recently been turned down by a vote of 17 to 1. Assuming the voice of an administrator in a role-playing session, I opened with, "I'm feeling frightened to be bringing up this issue." I chose to start this way because I sensed how frightened the administrators were as they prepared to confront the physicians on this topic again.. Before I could
continue, one of the administrators stopped me to protest, "You're being unrealistic! We could never tell the physicians that we were frightened."

When I asked why an admission of fear seemed so impossible, he replied without hesitation, "If we admitted we're frightened, then they would just pick us to pieces!" His answer didn't surprise me; I have often heard people say they cannot imagine ever expressing feelings at their workplace. I was pleased to learn, however, that one of the administrators did decide to risk expressing his vulnerability at the dreaded meeting. Departing from his customary manner of appearing strictly logical, rational, and unemotional, he chose to state his feelings together with his reasons for wanting the physicians to change their position. He noticed how differently the physicians responded to him. In the end he was amazed and relieved when, instead of "picking him to pieces," the physicians reversed their previous position and voted 17 to 1 to support the project. This dramatic turn-around helped the administrators realize and appreciate the potential impact of expressing vulnerability—even in the workplace.

*Feelings versus Non-Feelings*

A common confusion, generated by the English language, is our use of the word feel without actually expressing a feeling. For example, in the sentence, "I feel I didn't get a fair deal," the words I feel could be more accurately replaced with I think. In general, feelings are not being clearly expressed when the word feel is followed by:

Words such as that, like, as if:

"I feel that you should know better."

"I feel like a failure."

"I feel as if I'm living with a wall."

The pronouns I, you, he, she, they, it:

"I feel I am constantly on call."

"I feel it is useless."
Names or nouns referring to people:

"I feel Amy has been pretty responsible." "I feel my boss is being manipulative."

Conversely, in the English language, it is not necessary to use the word feel at all when we are actually expressing a feeling: we can say, "I'm feeling irritated," or simply, "I'm irritated."

It is important to distinguish between what we feel and what we think we are. Description of what we think we are: "I feel inadequate as a guitar player." In this statement, I am assessing my ability as a guitar player, rather than clearly expressing my feelings.

Expressions of actual feelings:

"I feel disappointed in myself as a guitar player."
"I feel impatient with myself as a guitar player."
"I feel frustrated with myself as a guitar player."

The actual feeling behind my assessment of myself as "inadequate" could therefore be disappointment, impatience, frustration, or some other emotion.

Likewise, it is helpful to differentiate between words that describe what we think others are doing around us, and words that describe actual feelings. Following are examples of statements that are easily mistaken as expressions of feelings: in fact they reveal more how we think others are behaving than what we are actually feeling ourselves.

"I feel unimportant to the people with whom I work."

The word unimportant describes how I think others are evaluating me, rather than an actual feeling, which in this situation might be "I feel sad" or "I feel discouraged."

"I feel misunderstood."
Here the word misunderstood indicates my assessment of the other person's level of understanding rather than an actual feeling. In this situation, I may be feeling anxious or annoyed or some other emotion.

"I feel ignored."

Again, this is more of an interpretation of the actions of others than a clear statement of how we are feeling. No doubt there have been times we thought we were being ignored and our feeling was relief, because we wanted to be left to ourselves. No doubt there were other times, however, when we felt hurt when we thought we were being ignored, because we had wanted to be involved.

Words like ignored express how we interpret others, rather than how we feel. Here is a sampling of such (nonfeeling) words:

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<th>abandoned</th>
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<th>put down</th>
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<tbody>
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<td>diminished</td>
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Building a Vocabulary for Feelings

In expressing our feelings, it helps to use words that refer to specific emotions, rather than words that are vague or general. For example, if we say, "I feel good about that," the word good could mean happy, excited, relieved, or a number of other emotions. Words such as good and bad prevent the listener from connecting easily with what we might actually be feeling.

The following lists have been compiled to help you increase your power to articulate feelings and clearly describe a whole range of emotional states.

<table>
<thead>
<tr>
<th>How we are likely to feel when our needs are being met</th>
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buoyant  glorious
relieved
calm  glowing  satisfied
carefree  good-humored  secure
cheerful  grateful  sensitive
comfortable  gratified  serene
complacent  happy  spellbound
composed  helpful  splendid
concerned  hopeful  stimulated
confident  inquisitive  surprised
contented  inspired  tender
cool  intense  thankful
curious  interested  thrilled
dazzled  intrigued  touched
delighted  invigorated  tranquil
eager  involved  trusting
ebullient  joyous, joyful  upbeat
ecstatic  jubilant  warm
effervescent  keyed-up  wide-awake
elated  loving  wonderful
enchanted  mellow  zestful
encouraged  merry  energetic  mirthful
How we are likely to feel when our needs are not being met

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<th>irate</th>
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Summary

The second component necessary for expressing ourselves is feelings. By developing a vocabulary of feelings that allows us to clearly and specifically name or identify our emotions, we can connect more easily with one another. Allowing ourselves to be vulnerable by expressing our feelings can help resolve conflicts. NVC distinguishes the expression of actual feelings from words and statements that describe thoughts, assessments, and interpretations.

Exercise-2
EXPRESSING FEELINGS

If you would like to see whether we're in agreement about the verbal expression of feelings, circle the number in front of each of the following statements in which feelings are verbally expressed.

1. "I feel you don't love me."

2. "I'm sad that you're leaving."

3. "I feel scared when you say that."

4. "When you don't greet me, I feel neglected."

5. "I'm happy that you can come."

6. "You're disgusting."

7. "I feel like hitting you."

8. "I feel misunderstood."

9. "I feel good about what you did for me."

10. "I'm worthless."
Here are my responses for Exercise 2:

1. If you circled this number, we're not in agreement. I don't consider "you don't love me" to be a feeling. To me, it expresses what the speaker thinks the other person is feeling, rather than how the speaker is feeling. Whenever the words I feel are followed by the words I, you, he, she, they, it, that, like, or as if, what follows is generally not what I would consider to be a feeling. An expression of feeling in this case might be: "I'm sad," or "I'm feeling anguished."

2. If you circled this number, we're in agreement that a feeling was verbally expressed.

3. If you circled this number, we're in agreement that a feeling was verbally expressed.

4. If you circled this number, we're not in agreement. I don't consider "neglected" to be a feeling. To me, it expresses what the speaker thinks the other person is doing to him or her. An expression of feeling might be: "When you don't greet me at the door, I feel lonely."

5. If you circled this number, we're in agreement that a feeling was verbally expressed.

6. If you circled this number, we're not in agreement. I don't consider "disgusting" to be a feeling. To me, it expresses how the speaker thinks about the other person, rather than how the speaker is feeling. An expression of feeling might be: "I feel disgusted."

7. If you circled this number, we're not in agreement. I don't consider "like hitting you" to be a feeling. To me, it expresses what the speaker imagines doing, rather than how the speaker is feeling. An expression of feeling might be: "I am furious at you."

8. If you circled this number, we're not in agreement. I don't consider "misunderstood" to be a feeling. To me, it expresses what the speaker thinks the other person is doing. An expression of feeling in this case might be: "I feel frustrated," or "I feel discouraged."

9. If you circled this number, we're in agreement that a feeling was verbally expressed. However, the word good is vague when used to convey a feeling. We can usually express our feelings more clearly by using other words, for example: relieved, gratified, or encouraged.

10. If you circled this number, we're not in agreement. I don't consider "worthless" to be a feeling. To me, it expresses how the speaker thinks about himself or herself, rather than how the speaker is feeling. An expression of feeling in this case might be: "I feel skeptical about my own talents," or "I feel wretched."
3. Self-Disclosure—What is it about me (not about you) that makes me feel this way

The third component of NVC entails the acknowledgment of the root of our feelings. NVC heightens our awareness that what others say and do may be the stimulus, but never the cause, of our feelings. We see that our feelings result from how we choose to receive what others say and do, as well as from our particular needs and expectations in that moment. With this third component, we are led to accept responsibility for what we do to generate our own feelings.

When someone gives us a negative message, whether verbally or nonverbally, we have four options as to how to receive it. One option is to take it personally by hearing blame and criticism. For example, someone is angry and says, "You're the most self-centered person I've ever met!" If choosing to take it personally, we might react: "Oh, I should've been more sensitive!" We accept the other person's judgment and blame ourselves. We choose this option at great cost to our self-esteem, for it inclines us toward feelings of guilt, shame, and depression.

A second option is to fault the speaker. For example, in response to "You're the most self-centered person I've ever met," we might protest: "You have no right to say that! I am always considering your needs, You're the one who is really self-centered." When we receive messages this way, and blame the speaker, we are likely to feel anger.

When receiving negative messages, our third option would be to pay attention to our own feelings and needs. Thus, we might reply, "When I hear you say that I am the most self-centered person you've ever met, I feel hurt, because I need some recognition of my efforts to be considerate of your preferences." By focusing attention on our own feelings and needs, we become conscious that our current feeling of hurt derives from a need for our efforts to be recognized.

Finally, a fourth option on receiving a negative message is to pay attention to the other person's feelings and needs. We might for example ask, "Are you feeling hurt because you need more consideration for your preferences?" We accept responsibility for our feelings, rather than blame other people, by acknowledging our own needs, desires, expectations, values, or thoughts. Note the difference between the following expressions of disappointment:
Example 1

A: "You disappointed me by not coming over last evening."

B: "I was disappointed when you didn't come over, because I wanted to talk over some things that were bothering me."

Speaker A attributes responsibility for his disappointment solely to another person's action. Speaker B traces his feeling of disappointment to his own unfulfilled desire.

Example 2

A: "Their cancelling the contract really irritated me!"

B: "When they cancelled the contract, I felt really irritated because I was thinking to myself that it was an awfully irresponsible thing to do."

Speaker A attributes her irritation solely to the behavior of the other party, whereas Speaker B accepts responsibility for her feeling by acknowledging the thought behind it. She recognizes that her blaming way of thinking has generated her irritation. In NVC, however, we would urge this speaker to go a step further by identifying what she is wanting: what need, desire, expectation, hope, or value of hers has not been fulfilled? As we shall see, the more we are able to connect our feelings to our own needs, the easier it is for others to respond compassionately. To relate her feelings to what she is wanting, Speaker B might have said: "When they cancelled the contract, I felt really irritated because I was hoping for an opportunity to rehire the workers we laid off last year."

It is helpful to recognize a number of common speech patterns that tend to mask accountability for our own feelings:

1. Use of impersonal pronouns such as it and that:

   "It really infuriates me when spelling mistakes appear in our public brochures." "That bugs me a lot."
2. The use of the expression "I feel (an emotion) because ... " followed by a person or personal pronoun other than I:

"I feel hurt because you said you don't love me." "I feel angry because the supervisor broke her promise."

3. Statements that mention only the actions of others:

"When you don't call me on my birthday, I feel hurt."

"Mommy is disappointed when you don't finish your food."

In each of these instances, we can deepen our awareness of our own responsibility by substituting the phrase, "I feel ... ' because I ... " For example:

1. "I feel really infuriated when spelling mistakes like that appear in our public brochures, because I want our company to project a professional image."

2. "I feel angry that the supervisor broke her promise, because I was counting on getting that long weekend to visit my brother."

3. "Mommy feels disappointed when you don't finish your food, because I want you to grow up strong and healthy."

The Needs at the Roots of Feelings

Judgments, criticisms, diagnoses, and interpretations of others are all alienated expressions of our needs. If someone says, "You never understand me," they are really telling us that their need to be understood is not being fulfilled. If a wife says, "You've been working late every night this week; you love your work more than you love me," she is saying that her need for intimacy is not being met. When we express our needs indirectly through the use of evaluations, interpretations, and images, others are likely to hear criticism. And when people hear anything that sounds like criticism, they tend to invest their energy in self-defense or counterattack. If we wish for a compassionate response from others, it is self-defeating to express our needs by interpreting or diagnosing their behavior. Instead, the more directly we disclose ourselves—our values, needs and history as well as our feelings, the easier it is for others to respond to us compassionately.

Unfortunately, most of us have never been taught to think in terms of needs and self-disclosure. We are accustomed to thinking about what's wrong with other people when our needs aren't being fulfilled.
Thus, if we want coats to be hung up in the closet, we may characterize our children as lazy for leaving them on the couch. Or we may interpret our co-workers as irresponsible when they don't go about their tasks the way we would prefer them to.

The third component of NVC is the acknowledgment and disclosure of ourselves and our own role--of the needs behind our feelings. What others say and do may be the stimulus for, but never the cause of, our feelings. When someone communicates negatively, we have four options as to how to receive the message: (1) blame ourselves, (2) blame others, (3) sense our own feelings and needs, (4) sense the feelings and needs hidden in the other person's negative message.

4. Making Requests

It can be problematic when people state their requests without first communicating the feelings and needs behind them. This is especially true when the request takes the form of a question. "Why don't you go and get a haircut?" can easily be heard by youngsters as a demand or an attack unless parents remember to first reveal their own feelings and needs: "We're worried that your hair is getting so long it might keep you from seeing things, especially when you're on your bike. How about a haircut?" It is more common, however, for people to talk without being conscious of what they are asking for. "I'm not requesting anything," they might remark. "I just felt like saying what I said."

The clearer we are about what we want, the more likely it is that we'll get it. My belief is that, whenever we say something to another person, we are requesting something in return. It may simply be an empathic connection--a verbal or nonverbal acknowledgment, as with the man on the train, that our words have been understood. Or we may be requesting honesty: we wish to know the listener's honest reaction to our words. Or we may be requesting an action that we hope would fulfill our needs. The clearer we are on what we want back from the other person, the more likely it is that our needs will be met.

Requesting Honesty

After we've openly expressed ourselves and received the understanding we want, we're often eager to know the other person's reaction to what we've said. Usually the honesty we would like to receive takes one of three directions: Sometimes we'd like to know the feelings that are stimulated by what we said,
and the reasons for those feelings. We might request this by asking, "I would like you to tell me how you feel about what I just said, and your reasons for feeling as you do."

Sometimes we'd like to know something about our listener's thoughts in response to what they just heard us say. At these times, it's important to specify which thoughts we'd like them to share. For example, we might say, "I'd like you to tell me if you predict that my proposal would be successful, and if not, what you believe would prevent its success," rather than simply saying, "I'd like you to tell me what you think about what I've said." When we don't specify which thoughts we would like to receive, the other person may respond at great length with thoughts that aren't the ones we are seeking.

Sometimes we'd like to know whether the person is willing to take certain actions that we've recommended.
Requests versus Demands

Our requests are received as demands when others believe they will be blamed or punished if they do not comply. When people hear a demand, they see only two options: submission or rebellion. Either way, the person requesting is perceived as coercive, and the listener’s capacity to respond compassionately to the request is diminished. The more we have in the past blamed, punished, or "laid guilt trips" on others when they haven't responded to our requests, the higher the likelihood that our requests will now be heard as demands. We also pay for others' use of such tactics. To the degree that people in our lives have been blamed, punished, or urged to feel guilty for not doing what others have requested, the more likely they are to carry this baggage to every subsequent relationship and hear a demand in any request.

When making a request, it is also helpful to scan our minds for the sort of thoughts that automatically transform requests into demands:

"He should be cleaning up after himself.

"She's supposed to do what I ask.

"I deserve to get a raise.

"I'm justified in having them stay later.

"I have a right to more time off.

Summary

The fourth component of NVC addresses the question of what we would like to request of each other to enrich each of our lives. We try to avoid vague, abstract, or ambiguous phrasing, and remember to use positive action language by stating what we are requesting rather than what we are not. Each time we speak, the clearer we are about what we want back, the more likely we are to get it.

Exercise 4

EXPRESSING REQUESTS

To see whether we’re in agreement about the clear expression of requests, circle the number in front of each of the following statements in which the speaker is clearly requesting that a specific action be taken.
1. "I want you to understand me."

2. "I'd like you to tell me one thing that I did that you appreciate."

3. "I'd like you to feel more confidence in yourself."

4. "I want you to stop drinking."

5. "I'd like you to let me be me."

6. "I'd like you to be honest with me about yesterday's meeting."

7. "I would like you to drive at or below the speed limit."

8. "I'd like to get to know you better."

9. "I would like you to show respect for my privacy."

10. "I'd like you to prepare supper more often."

Here are my responses for Exercise 4:

1. If you circled this number, we're not in agreement. To me, the word *understand* does not clearly express a request for a specific action. A request for a specific action might be: "I want you to tell me what you heard me say."

2. If you circled this number, we're in agreement that the speaker is clearly requesting a specific action.

3. If you circled this number, we're not in agreement. To me, the words *feel more confidence* do not clearly express a request for a specific action. A request for a specific action might be: "I'd like you to take a course in assertiveness training, which I believe would increase your self-confidence."

4. If you circled this number, we're not in agreement. To me, the words *stop drinking* do not express what the speaker wants, but rather what he or she doesn't want. A request for a specific action might be: "I want you to tell me what needs of yours are met by drinking, and to discuss with me other ways of meeting those needs."

5. If you circled this number, we're not in agreement. To me, the words *let me be me* do not clearly express a request for a specific action. A request for a specific action might be: "I want you to tell me you won't leave our relationship—even if I do some things that you don't like."

6. If you circled this number, we're not in agreement. To me, the words *be honest with me* do not clearly express a request for a specific action. A request for a specific action might be: "I want you to tell me how you feel about what I did and what you'd like me to do differently."

7. If you circled this number, we're in agreement that the speaker is clearly requesting a specific action.
8. If you circled this number, we're not in agreement. To me, the words *get to know you better* do not clearly express a request for a specific action. A request for a specific action might be: "I'd like you to tell me if you would be willing to meet for lunch once a week."

9. If you circled this number, we're not in agreement. To me, the words *show respect for my privacy* do not clearly express a request for a specific action. A request for a specific action might be: "I'd like you to agree to knock before you enter my office."

10. If you circled this number, we're not in agreement. To me, the words *more often* do not clearly express a request for a specific action. A request for a specific action might be: "I'd like you to prepare supper every Monday night."
Interpersonal Learning

We Need Others in Order to Know Ourselves

There is a part of me that only I can know—my motives, and intentions, feelings.

There is an aspect of me—my behavior (verbal or non-verbal; silence or inaction) that can be known by anyone who sees/hears me.

There is an aspect of me—the effects of my behavior on others—that can be known only to those others.

Figure 1 illustrates the premise that there are at least two realities: "What I know about myself" and "What you know about me." In terms of the former, even though I might fool myself at times, I am the one most aware of my needs and motives. These (plus the situational influences) lead me to intend to behave in a certain way. Needs, motives and intentions are the parts that I am expert on myself. For example, I'm the only one who knows why I just gave you a compliment— you may wonder about my motives — ("Should I take that at face value? Is she/he trying to score points with me somehow? Is she attracted to me? Does he want something from me?") — but I'm the only one who really knows my motive.

Behavior is the only part that is public to both; it is the only thing that can be seen. Remember that even if I were to describe my feelings or intentions, that is still (verbal) behavior.

Figure 1. THE INTERPERSONAL CYCLE

<table>
<thead>
<tr>
<th>My Expertise</th>
<th>Your Expertise</th>
</tr>
</thead>
</table>

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What I don’t know about myself is how my behavior affects you—does it have the outcome that I intend? In the example above, I gave you the compliment because I wanted you to know that I admired what you did, because I could never have done it. Did my admiration register with you? In addition, does it have some costs that I do not intend? I never intended nor do I know, unless you tell me, that you wondered about my motives for giving you a compliment. The effect or impact of my behavior on you is the part of me that you know best.

Interpersonal learning is very inexact and error-prone

Interpersonal “learning” goes on all the time. Unfortunately, much of what we learn is either useless or wrong or both.

A. We often don’t reveal our true selves

We frequently conceal certain parts of ourselves and present an image that may be different than who we really are (e.g., pretending that we know what should be done when we don’t, feeling we have to be cool when we feel anxious, etc.). We may get others’ reactions to the roles we play, but that may be very different from reactions to how we really feel and think.
B. We often don't get a (real) response.

Others often disguise or distort their reactions to us, for fear of hurting us, looking silly themselves, or because of injunctions such as "If you can't say anything nice, don't say anything at all" or "Don't say anything you might later regret" "Be diplomatic." It is difficult to decipher the signal to know the real meaning when we aren't given all the information. People also have concerns about how others might react to them. "Jack will think I'm too thin-skinned, if I tell him about his impact on me." There are also worries that they'll hurt us or damage our relationship, or cause us to get really defensive and angry with them.

C. It is difficult to both give useful feedback and to hear useful feedback from others.

It can be very difficult to listen to feedback. When we hear statements that threaten our self-image, we tend to argue against them to defend ourselves rather than to fully consider them.

Often when people give feedback, we give it in a way that triggers that defensiveness in others. The ability to give useful feedback in a way that others can take in is hard to learn—one that we will work on all semester long.

D. It is difficult to know why others react to us as they do

It is difficult to determine how much of someone's response to us is really a statement about them ("He's having a really bad day today and that's why he got mad at me") or a statement about us ("He's right—I was inconsiderate"). In the confusion about where to draw the line between what belongs to us and what belongs to the other (i.e., where we stop and the other person starts), we can take on things that aren't really ours, or conversely, we can too quickly reject the learning that is there for us in the feedback we're given.

E. We over-generalize from one or a few situations.
When we try to raise a difficult issue in a meeting or with a colleague and it doesn't turn out well, we are often inclined to say, "Well, I'll never do that again!" This overlooks the very real possibility that there may be other situations in which that same approach would be appropriate.

F. When we do get specific feedback, it can outlast its usefulness.

On those rare occasions in the past when we received concrete and specific feedback, it may have made such an impression that we sometimes continue to hold on to it as true when it no longer is. For example, we may continue to remember and be influenced by a first boss who commented on an early work behavior that we worry about today, even though we long since stopped doing it, or by a searing remark by a parent 15 years ago about how selfish we were, even though we have never heard similar remarks from intimate others since then.

Each of these factors contributes to our having a very cloudy picture of ourselves. The fact that interpersonal learning is so inexact and error-prone in these ways also makes us tend toward "safer" and "more sanitized" disclosures in an effort to minimize the confusion and mistakes. That is, we err on the side of concealing more parts of ourselves than we might have to. This is apparent in that most people don't reveal much of themselves in the course of normal conversations, and certainly not parts that they have previously received negative feedback on.

G. It's the way we give feedback

When we move away from our own area of expertise (sharing with the other what their impact is on me) and go over into the other's expertise (their motives, intentions, etc.) when we are giving feedback, the interpersonal learning that occurs gets distorted. This is the focus of the next section.
The Implications of This Model

Part 1: Misusing the model hinders learning

First, it helps explain why most feedback has low impact. The problem is that most people don't stay with their expertise but, instead, move to their area of ignorance! How does this work? Most of us act like amateur psychologists in that we try to figure out why others act as they do. If you interrupt me (a behavior) and I feel annoyed (the effect on me), I try and understand why you would do that. So I make an attribution of your motives (it must be that you are inconsiderate). This is a normal process because it allows me to make sense out of the world. Now with that label that I have hung around your neck, I can “understand” other parts of your behavior.

As common as this attribution process is, it also can be dysfunctional. Note that my sense-making is a guess. That is my hunch as to why you act the way you do. I am "crossing over the net" from what is my area of expertise (that I am annoyed at your behavior), to your area of expertise (your motives and intentions). My imputation of your motives can always be debated, (“You don’t listen” "Yes, I do" "No you don't") whereas sticking with my own feelings and reactions is never debatable. ("I felt irritated by your interruption just now." "You shouldn't feel that way because I didn't mean to interrupt you." "Perhaps not, but I feel irritated nonetheless.")

Second, most feedback creates or increases defensiveness. Attribution-based feedback is very invasive. It is one thing for me to comment on your behavior, but it is a totally different thing to comment on your motives and intentions (your personality). The fact that I can be wrong and that I am invasive is what causes much of the defensiveness around receiving feedback. Another reason why interpretations cause defensiveness is that they tend to over-simplify the situation ("you act that way because you are insecure") and we all hate to be reduced to someone else’s formula.

Third, crossing over the net encourages us to hold back and collect data on the other person because we are (understandably) afraid that our attributions may be wrong. Not only does a lot of time pass before we say anything about our experience, but we also begin to "build a case" and our conclusions harden as we begin to selectively hear things that confirm our assumptions and conclusions.

Yet most of the feedback that occurs in organizations "crosses over the net." Think of what is commonly said (in performance appraisals and in confrontations). "You don't listen" "You want to empire build"
"You only think of your area" "You don't want to be a team player" "You just want to have your way and dominate" "You are scared of conflict" "You don't want to confront the boss" and so on. All of these are guesses as to the other's intentions and motives.

Part 2: Using the model facilitates interpersonal learning

The interpersonal model, when used appropriately, provides clues as to what produces effective learning. This has several aspects:

(a) If Person B stays on his/her side of the net, that feedback is always accurate as a statement of the effect of A's behavior on B. Note that we are not saying that feedback is how Person A is (that's one reality). What we are speaking to is Robert Burn's observation of understanding how others react to us.

(b) If staying on our own side of the net means that feedback is always accurate, then one can give feedback very early in the relationship because Person B is likely to have reactions from the very beginning. Conversely, if feedback is on how you are, then I need to hold back and collect a lot of data. Going back to the example above, if Person A interrupts and this annoys B and B feel discounted, those are real feelings irrespective of what Person A intends. B can share those feelings as long as s/he sticks with describing the reactions and not as indictments of the other person.

(c) How can Person A and B to be open about their needs and reactions? This works best when there is a climate supportive of risk-taking and openness. Thus, learning does not occur in a vacuum. People need to know that if they disclose more, they will not be rejected and that if they listen to feedback, they will not be forced to change.

(d) This model of interpersonal learning works best when it provides choices, not when it coerces change. If people do not know the impact of their behavior, then they have no systematic way to change. It is only when they understand the impact that meaningful change can occur.

This is important because it is likely that our behavior affects different people in different ways. Thus, there is no one perfect way to be that will satisfy everybody. But if one can build a learning climate (in our relationships, in the groups that we belong to), then I can collect the information that I need about how my behavior is affecting you, and you, and you. That allows us to engage in some joint problem-solving about how we can interact to be most productive.

It may be that it will require only a slight change in my behavior. Or it might be that your knowing my
intentions, decreases the negative effect of my behavior. (So if you know that my interruptions are due to my eagerness, not to disrespect, maybe you won't be so bothered.)

It is this learning and joint problem-solving that can allow people to more quickly build helpful relationships. It allows learning to be specific to that relationship.

(e) The more Person A can express, the more that there is for B to respond to. Even though silence is a behavior, it reveals much less about A than overt actions. We said that feedback based on interpretations tends to create defensiveness. Few of us like to be "psyched out" by others. Unfortunately, the more we hold back, the greater the vacuum there is for others to read in and (mis)interpret out motives and intentions.

A corollary to this point is that the more my behavior is “really me,” the more valuable the feedback will be. Conversely, the more that I play a role, give the socially desirable response, hold back my true feelings and concerns, the more that the feedback will be on my presented image, rather than on me.
It was Daniel Goleman who first brought the term "emotional intelligence" to a wide audience with his 1995 book of that name, and it was Coleman who first applied the concept to business with his 1998 HBR article, reprinted here. In his research at nearly 200 large, global companies, Coleman found that while the qualities traditionally associated with leadership—such as intelligence, toughness, determination, and vision—are required for success, they are insufficient. Truly effective leaders are also distinguished by a high degree of emotional intelligence, which includes self-awareness, self-regulation, motivation, empathy, and social skill. These qualities may sound "soft" and unbusinesslike, but Coleman found direct ties between emotional intelligence and measurable business results. While emotional intelligence's relevance to business has continued to spark debate over the past six years, Coleman's article remains the definitive reference on the subject, with a description of each component of emotional intelligence and a detailed discussion of how to recognize it in potential leaders, how and why it connects to performance, and how it can be learned.

What Makes a Leader?

by Daniel Goleman

IQ and technical skills are important, but emotional intelligence is the sine qua non of leadership. Every businessperson knows a story about a highly intelligent, highly skilled executive who was promoted into a leadership position only to fail at the job. And they also know a story about someone with solid—but not extraordinary—intellectual abilities and technical skills who was promoted into a similar position and then soared. Such anecdotes support the widespread belief that identifying individuals with the "right stuff" to be leaders...
is more art than science. After all, the personal styles of superb leaders vary: Some leaders are subdued and analytical; others shout their manifestos from the mountaintops. And just as important, different situations call for different types of leadership. Most mergers need a sensitive negotiator at the helm, whereas many turnarounds require a more forceful authority.

I have found, however, that the most effective leaders are alike in one crucial way: They all have a high degree of what has come to be known as *emotional Intelligence*. It's not that iQ and technical skills are irrelevant. They do matter, but mainly as "threshold capabilities"; that is, they are the entry-level requirements for executive positions. But my research, along with other recent studies, clearly shows that emotional intelligence is the sine qua non of leadership. Without it, a person can have the best training in the world, an incisive, analytical mind, and an endless supply of smart ideas, but he still won't make a great leader.

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