USF School of Law
Spring 2019 – First Assignment

Course:
Securities Regulation

Professor:
Matthew O’Toole & Steve Varholik

Course Materials:
Recommended: Cox, Hillman, Langevoort, 2018 Securities Regulation, Selected Statutes, Rules, and Forms (the “Statutory Supplement”).
As part of every assignment you are expected to read those provisions of the Securities Act and Exchange Act (and accompanying rules and regulations) that are referred to in the assigned Text pages. You also are expected to read the pages of the Text Supplement that augment the assigned Text pages.

First Assignment:
- Securities Transactions & The Legal Framework of Securities Regulation at 1-27
- The Debate over Mandatory Disclosure at 237-246

Additional Notes:
We look forward to beginning our journey together into the fascinating world of securities regulation. Enjoy your semester break and see you on the 10th!